

## Custodial Services & Nominees Fees 託管及代理人服務收費表

### Section A

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                                                                           | FEES DESCRIPTION<br>收費內容                                                                                                                                                                                                               |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Hong Kong<br>香港             | Collection of Cash Dividend<br>代收現金股息                                                                                                                                | Dividend Collection Fee: 0.30% of Net Dividend (Min. HK\$30)<br>股息手續費：0.30% 之淨股息(最低收費:港元30)                                                                                                                                            |
|                             | Collection of Scrip Dividend / Bonus Shares<br>代收(可兌股) 及紅股股息                                                                                                         | Bonus Handling Charge: HK\$30 per stock<br>紅股股息手續費：每股份港元30                                                                                                                                                                             |
|                             | Book Close Scrip Fee (with Entitlement)<br>股票登記及過戶費 (附權益)                                                                                                            | 1. Scrip Fee: HK\$1.50 per board lot<br>過戶費: 每手港元1.50<br>2. Handling Fee: HK\$30 per stock<br>手續費: 每股份港元30                                                                                                                             |
|                             | Cash offers/ Privatization/ Takeover offer/ Auto-exercise covered warrants and other corporate actions with Cash consideration<br>有關現金收購, 私有化, 衍生輪證自動行使 及涉合現金收入之公司行動 | 1. Corporate Action Fee: HK\$1.20 per board lot<br>公司行動費: 每手港元 1.20<br>2. Handling Fee: HK\$50 per stock<br>手續費： 每股份港元50                                                                                                               |
|                             | Exercise Warrants, Rights, Open Offer, Perf. Offer etc..<br>代行使 權證, 供股權證, 公開配售, 優先認購 等                                                                               | 1. Corporate Action Fee: HK\$1.20 per board lot<br>公司行動費: 每手港元 1.20<br>2. Handling Fee: HK\$50 per stock<br>手續費： 每股份港元50                                                                                                               |
|                             | Stock Settlement Instruction (SI)<br>(NCBO - non-trade transfer out)<br>提取股票 - 經由中央結算處理的交收指示 (SI)<br>(只限同名戶口提貨 - 非交易轉貨)                                              | 0.01% Market Value per stock per transaction<br>(Min.: HKD200)<br>以市值0.01%計算每宗交易股份 (最低收費:港元200)                                                                                                                                        |
|                             | Stock Individual Settlement Instruction (ISI)<br>(NCBO - non-trade transfer out)<br>提取股票 - 經由中央結算處理的投資者交收指示 (ISI)<br>(只限同名戶口提貨 - 非交易轉貨)                              | HK\$50 per stock per transaction<br>每宗交易股份港元50                                                                                                                                                                                         |
|                             | Counter Transfer Instruction - 「HKD-RMB Dual Counter Model」<br>(NCBO transfer within securities account)<br>櫃台轉換指示- 「港幣-人民幣雙櫃台模式」<br>(只限同名證券戶口內轉換)                   | Waived 豁免                                                                                                                                                                                                                              |
|                             | Physical Certificate Deposit<br>存入實物股票                                                                                                                               | Stamp Duty HK\$5 per transfer deed<br>印花稅港元5 (每張股票 或 轉手紙)                                                                                                                                                                              |
|                             | Physical Certificate Withdrawal<br>提取實物股票                                                                                                                            | HK\$5 per board lot (Min. HK\$100 per transaction)<br>每手港元5 (每宗最低港元100)                                                                                                                                                                |
|                             | Proxy & Voting Request<br>代履行投票服務                                                                                                                                    | HK\$100 per stock per request<br>每一宗股份投票申請計港元100                                                                                                                                                                                       |
|                             | IPO Subscription<br>新股認購                                                                                                                                             | HK\$100 per stock per subscription<br>每宗申請股份港元100                                                                                                                                                                                      |
|                             | Custody Fee (Monthly)<br>託管費(每月收取)                                                                                                                                   | Waived 豁免                                                                                                                                                                                                                              |
|                             | Handling Charge for Assets Verification / Balance Audit Confirmation Letter<br>處理資產核實/結存審計證明書                                                                        | HK\$200 per account per request<br>每一賬戶申請計港元200                                                                                                                                                                                        |
|                             | Reference Letter<br>發出證券戶參考證明書                                                                                                                                       | HK\$200 per request<br>每一宗申請計港元200                                                                                                                                                                                                     |
|                             | Unclaimed Entitlements<br>追討股息/紅股/供股權                                                                                                                                | 1. CCASS Handling Fee: HK\$200<br>(中央結算公司手續費：每宗申請港元200)<br>2. UOBKH Handling Fee: 0.30% of Net Cash Amount (Min. HK\$300)<br>per stock per claim + HK\$300 per claim<br>(大華繼顯手續費：淨現金款額的0.30% (最低收費:港元300 )<br>每隻股票/每宗申請計 + 港元300 每宗申請計 |

# Custodial Services & Nominees Fees

## 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場                                                                                     | ITEM<br>項目                                                                                                                                                           | FEES DESCRIPTION<br>收費內容                                                                                                                                                            |
|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Hong Kong China<br>Connected<br>Markets<br>互聯互通市場<br><br>(Shanghai<br>Connect 滬港通 /<br>Shenzhen<br>Connect 深港通) | Collection of Cash Dividend<br>代收現金股息                                                                                                                                | Handling Fee: 0.30% of Net Dividend (Min. CNY30)<br>手續費：0.30% 之淨股息(最低收費:人民幣30)                                                                                                      |
|                                                                                                                 | Collection of Scrip Dividend / Bonus Shares<br>代收(可兌股) 及紅股股息                                                                                                         | Handling Fee: CNY30 per stock<br>手續費：每股份計人民幣30                                                                                                                                      |
|                                                                                                                 | Cash offers/ Privatization/ Takeover offer/ Auto-exercise covered warrants and other corporate actions with Cash consideration<br>有關現金收購, 私有化, 衍生輪證自動行使 及涉合現金收入之公司行動 | 1. CNY1.20 per board lot<br>每手人民幣1.20<br>2. Handling Fee: CNY50 per stock<br>手續費：每股份人民幣50                                                                                           |
|                                                                                                                 | Corporate Actions<br>代履行公司行動                                                                                                                                         | 1. CNY1.20 per board lot<br>每手人民幣 1.20<br>2. Handling Fee: CNY50 per stock<br>手續費：每股份人民幣50                                                                                          |
|                                                                                                                 | Stock Settlement Instruction (SI)<br>(NCBO - non-trade transfer out)<br>提取股票 - 經由中央結算處理的交收指示 (SI)<br>(只限同名戶口提貨 - 非交易轉貨)                                              | 0.01% Market Value per stock per transaction<br>(Min.: CNY200)<br>以市值0.01%計算每宗交易股份 (最低收費:人民幣200)                                                                                    |
|                                                                                                                 | Proxy & Voting Request<br>代履行投票服務                                                                                                                                    | CNY100 per stock per request<br>每一宗股份投票申請計人民幣100                                                                                                                                    |
|                                                                                                                 | Portfolio Fee<br>投資組合費                                                                                                                                               | 0.008% p.a.of the portfolio value<br>(Daily accrual in HKD, debit on monthly basis)<br>投資組合費年行率 0.008% (每日股票投資組合值 x 0.008% / 365<br>每日計算 · 按月以港元收取)                                 |
| United States<br>美國                                                                                             | Dividend Collection<br>代收現金股息                                                                                                                                        | Collection Fee: 1% on net dividend (Min. USD10)<br>Subject to 30% Dividend tax on gross dividend & interest *<br>手續費：1% 除稅後股息(最低收費: 美元10)<br>需繳納30% 的股息稅*                           |
|                                                                                                                 | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                                   | Handling Fee: USD10 per stock<br>手續費: 美元10 以每宗股份計                                                                                                                                   |
|                                                                                                                 | Cash Offers / Privatizations & Others Corporate action with Cash consideration<br>(現金回購/私有化 及 其他公司行動有關之現金回購)                                                         | Handling Fee: USD20 per stock **<br>手續費: 美元20 以每宗股份計**                                                                                                                              |
|                                                                                                                 | Electronic Transfer out to others clearing Participant / Different Custody Transfer Request (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者/ 不同託管商轉移<br>(只限同名戶口提貨)                     | Handling Fee: USD30 per stock per transaction **<br>手續費: 美元30 以每宗股份交易計**                                                                                                            |
|                                                                                                                 | Stock Transfer Fee – via DRS / DWAC<br>股票轉移 - 經(直接註冊系統)/ (託管人存取)                                                                                                     | 1.Handling Fee: USD50 per stock per transaction settled**<br>手續費: 美元50 以每宗股份成功交易計 **<br>2.Handling Fee: USD 40 DRS/DWAC per each rejection/fail**<br>手續費：美元40以每宗 DRS/DWAC拒絕或失敗指示計** |
|                                                                                                                 | ADR Depository Fee<br>美國存託證券託管費                                                                                                                                      | ADR Fee: USD 0.01 - 0.05 Per share (book close collected by the ADR agents)<br>託管費: 美元0.01 至0.05 每股計 (由託管中介於股份登記日收集)                                                                |
|                                                                                                                 | Physical Certificate Deposit<br>存入實物股票                                                                                                                               | 1. Handling Fee: USD 100 per certificate<br>(手續費: 美元100 以每張股票計)<br>2. Courier Fee: HKD 300 per deposit **<br>( 快遞費用: 港元300 每宗計) **                                                  |
|                                                                                                                 | Proxy Voting Request<br>代履行投票服務                                                                                                                                      | Handling Fee: USD90 per proxy voting request **<br>手續費: 美元90 以每宗申請計 **                                                                                                              |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                      | FEES DESCRIPTION<br>收費內容                                                                                                                                                                                                                                                                                                                                                                                                  |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Singapore<br>新加坡            | Dividend Collection<br>代收現金股息                                                                                   | Collection Fee: 1.10% on net dividend<br>(Min SGD25, Max SGD100 )<br>Subject to 17% Dividend Tax *<br>手續費: 1.10% 除稅後股息<br>(最低收費: 坡元25 / 最高收費: 坡元100)<br>需繳納17% 的股息稅*                                                                                                                                                                                                                                                      |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                              | Handling Fee: <b>SGD40</b> per stock<br>手續費 <b>坡元40</b> 以每宗股份計                                                                                                                                                                                                                                                                                                                                                            |
|                             | Rights Subscription / Warrants Exercise / Open Offers /<br>Shares Conversion<br>(行使認股權証/供股權/公開配售/ 股份轉換)         | Handling Fee: SGD40 per subscripton<br>手續費: 坡元40 以每宗認購股份計                                                                                                                                                                                                                                                                                                                                                                 |
|                             | Cash Offers / Privatizations & Others Corporate action with<br>Cash consideration<br>(現金回購/私有化 及 其他公司行動有關之現金回購) | Handling Fee: SGD50 per stock **<br>手續費: 坡元50 以每宗股份計**                                                                                                                                                                                                                                                                                                                                                                    |
|                             | Electronic Non Trade Stock Transfer Fee (out) with CDP<br>(NCBO only)<br>電子轉貨指示-轉移股票至其他CDP結算參與者<br>(只限同名戶口提貨)   | Handling Fee: SGD70 per stock per transaction**<br>手續費: 坡元70 以每宗交易股份計**                                                                                                                                                                                                                                                                                                                                                   |
|                             | Physical Certificate Deposit<br>存入實物股票                                                                          | 1. Deposit Fee: SGD70 per deposit**<br>(存入費: 坡元70 每宗計)**<br>2. Scrip Fee: SGD2.18 per certificate<br>(過戶費: 坡元2.18 每張票價計)<br>3. CDP Fee: SGD10.90 per deposit<br>(中央結算費: 坡元10.90 每宗計)<br>4. Processing Fee: SGD10.90 per stock per transfer deed<br>(處理費: 坡元10.90 每股份及每轉手紙計)<br>5. Courier Fee: <b>HKD300</b> per deposit**<br>(Reference for Standard weight < 1 kg)<br>(快遞費用: <b>港元300</b> 每宗計)**<br>(標準重量供參考 少於1千克)     |
|                             | Physical Certificate Withdrawal<br>提取實物股票                                                                       | 1. Withdrawal Fee: SGD70 per withdraw **<br>(提取費: 坡元70 每宗計)**<br>2. Scrip Fee: SGD 2.18 per certificate<br>(過戶費: 坡元2.18 每張票價計)<br>3. CDP Fee: <b>SGD27.25</b> per withdrawal<br>(中央結算費: <b>坡元27.25</b> 每宗計)<br>4. Registrar Fee: SGD32.70 per stock<br>(過戶處費: 坡元32.70 每股份計)<br>5. Courier Fee: <b>HKD300</b> per withdrawal* *<br>(Reference for Standard weight < 1 kg)<br>(快遞費用: <b>港元300</b> 每宗計)**<br>(標準重量供參考 少於1千克) |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                 | Handling Fee: SGD70 per request<br>手續費: 坡元70 以每宗申請計                                                                                                                                                                                                                                                                                                                                                                       |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場                   | ITEM<br>項目                                                                                                    | FEES DESCRIPTION<br>收費內容                                                                                                                                                                                                                                                                                                                                                                                                        |
|-----------------------------------------------|---------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Singapore –<br>Jardine Group<br>新加坡 -<br>怡和系列 | Dividend Collection<br>代收現金股息                                                                                 | Collection Fee : 1.10% on net dividend **<br>(Min USD15 , Max USD100)<br>手續費 :1.10% 的除稅後股息 **<br>(最低 美元15, 最高 美元100)                                                                                                                                                                                                                                                                                                            |
|                                               | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                            | Handling Fee : <b>SGD40</b> per stock **<br>手續費 <b>坡元40</b> 以每宗股份計**                                                                                                                                                                                                                                                                                                                                                            |
|                                               | Rights Subscription / Warrants Exercise / Open Offers /<br>Shares Conversion<br>(行使認股權証/供股權/公開配售/ 股份轉換)       | Handling Fee : SGD40 per subscripition<br>手續費 坡元40 以每宗認購股份計                                                                                                                                                                                                                                                                                                                                                                     |
|                                               | Electronic Non Trade Stock Transfer Fee (out) with CDP<br>(NCBO only)<br>電子轉貨指示-轉移股票至其他CDP結算參與者<br>(只限同名戶口提貨) | Handling Fee: SGD70 per stock per transaction**<br>手續費 坡元70 以每宗交易股份計**                                                                                                                                                                                                                                                                                                                                                          |
|                                               | Physical Certificate Deposit<br>存入實物股票                                                                        | 1. Deposit Fee : SGD70 per deposit**<br>(存入費: 坡元70 每宗計)**<br>2. Scrip Fee : SGD2.18 per certificate<br>(過戶費: 坡元2.18 每張股票計)<br>3. CDP Fee: SGD10.90 per stock per transfer deed<br>(中央結算費: 坡元10.90 每股份及每轉手紙計)<br>4. Processing Fee: SGD10.90 per stock per transfer deed<br>(處理費: 坡元10.90 每股份及每轉手紙計)<br>5. Courier Fee: HKD300 per deposit**<br>(Reference for Standard weight < 1 kg)<br>(快遞費用: 港元300 每宗計)**<br>(標準重量供參考 少於1千克) |
|                                               | Physical Certificate Withdrawal<br>提取實物股票                                                                     | 1. Withdrawal Fee : SGD70 per withdrawal**<br>(提取費: 坡元70 每宗計)**<br>2. Scrip Fee : SGD2.18 per certificate<br>(過戶費: 坡元2.18 每張股票計)<br>3. CDP Fee : SGD27.25 per withdrawal<br>(中央結算費: 坡元27.25 每宗計)<br>4. Registrar Fee: SGD32.70 per stock<br>(過戶處費: 坡元32.70 每股份計)<br>5. Courier Fee: HKD300 per withdrawal**<br>(Reference for Standard weight < 1 kg)<br>(快遞費用: 港元300 每宗計)**<br>(標準重量供參考 少於1千克)                                 |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                                                          | FEES DESCRIPTION<br>收費內容                                                                                                           |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Australia<br>澳洲             | Dividend Collection<br>代收現金股息                                                                                                                       | Handling Fee: AUD30 per stock<br>Subject to 30% Dividend Tax *<br>手續費: 澳元30 以每股份計<br>需繳納30% 的股息稅*                                  |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: AUD30 per stock<br>手續費: 澳元30 以每股份計                                                                                   |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: AUD30 per stock **<br>手續費: 澳元30 以每股份計**                                                                              |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                     | Handling Fee: AUD80 per stock per transaction**<br>手續費: 澳元80 以每宗交易股份計**                                                            |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: USD90 per proxy voting request **<br>手續費: 美元90 以每宗申請計                                                                |
|                             | Physical Certificate Deposit<br>存入實物股票                                                                                                              | 1. Handling Fee: USD 100 per Certificate<br>(手續費: 美元100 以每一張股票計算)<br>2. Courier Fee: HKD 300 **<br>(快遞費用: 港元300)**                 |
| Canada<br>加拿大               | Dividend Collection<br>代收現金股息                                                                                                                       | Collection Fee: 0.30% on net dividend (Min. CAD20)<br>Subject to 25% Dividend tax *<br>手續費: 0.30% 除稅後股息(最低收費:加元20)<br>需繳納25% 的股息稅* |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: CAD20 per stock<br>手續費: 加元20 以每股份計                                                                                   |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: CAD20 per stock **<br>手續費: 加元20 以每股份計**                                                                              |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                     | Handling Fee: USD80 per stock per transaction **<br>手續費: 美元80 以每宗交易股份計**                                                           |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: USD90 per proxy voting request **<br>手續費: 美元90 以每宗申請計                                                                |
|                             | Physical Certificate Deposit<br>存入實物股票                                                                                                              | 1. Handling Fee: USD 100 per Certificate<br>(手續費: 美元100 以每張股票計算)<br>2. Courier Fee: HKD 300 **<br>(快遞費用: 港元300)**                  |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                                                          | FEES DESCRIPTION<br>收費內容                                                                                                                                     |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|
| European Markets<br>歐洲市場    | Dividend Collection<br>代收現金股息                                                                                                                       | Collection Fee: 0.50% on net dividend<br>(Min. USD20 or Min. EUR20)<br>Subject to Domestic Dividend Tax *<br>手續費: 0.50% 除稅後股息(最低收費:美元20 或歐元20)<br>需繳納當地的股息稅* |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: USD20 or EUR20 per stock<br>手續費: 美元20 或歐元20 以每股份計                                                                                              |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: USD20 or EUR20 per stock **<br>手續費: 美元20 或歐元20 以每股份計**                                                                                         |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: USD90 per proxy voting request **<br>手續費: 美元90 以每宗申請計                                                                                          |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                     | Handling Fee: EUR20 per stock per transaction**<br>手續費: 歐元20 以每宗交易股份計**                                                                                      |
| Indonesia<br>印尼             | Dividend Collection<br>代收現金股息                                                                                                                       | Handling Fee: IDR70,000 per stock<br>Subject to 20% Dividend Tax *<br>手續費: 印尼盾70,000 以每股份計<br>需繳納20% 的股息稅*                                                   |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: IDR70,000 per stock<br>手續費: 印尼盾70,000 以每股份計                                                                                                    |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: IDR200,000 per stock **<br>手續費: 印尼盾200,000 以每股份計 **                                                                                            |
|                             | Others Coporate Actions<br>代履行之公司行動                                                                                                                 | Handling Fee: IDR200,000 per stock **<br>手續費: 印尼盾200,000 以每股份計 **                                                                                            |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: HK\$200 per Voting per request **<br>手續費: 港元200 以每宗股東會申請計**                                                                                    |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                     | Handling Fee: IDR 400,000 per stock per transaction**<br>手續費: 印尼盾400,000 以每宗交易股份計**                                                                          |



## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                                                                 | FEES DESCRIPTION<br>收費內容                                                                                                                                           |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Japan<br>日本                 | Dividend Collection<br>代收現金股息                                                                                                                              | Handling Fee: 1% on net dividend (Min JPY 2,000)<br>Subject to 15.315% Dividend Tax *<br>手續費: 1% 除稅後股息(最低收費: 日元2,000)<br>需繳納15.315% 的股息稅*                          |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                         | Handling Fee: JPY2,000 per stock<br>手續費: 日元2,000 以每股份計                                                                                                             |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售)        | Handling Fee: JPY2,000 per stock<br>手續費: 日元2,000 以每股份計                                                                                                             |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                            | Handling Fee: JPY5,000 per stock per transaction**<br>手續費: 日元5,000 以每宗交易股份計**                                                                                      |
| Malaysia<br>馬來西亞            | Dividend Collection<br>代收現金股息                                                                                                                              | Collection Fee: 1.10% on net dividend<br>(Min MYR30, Max MYR300)<br>Subject to 10% Dividend Tax *<br>手續費: 1.10% 除稅後股息<br>(最低收費: 馬幣30 / 最高收費:馬幣300)<br>需繳納10% 的股息稅* |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                         | Handling Fee: <b>MYR40</b> per stock<br>手續費: 馬幣40 以每股份計                                                                                                            |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/公開配售/現金回購/股份轉換/股本重組) | Handling Fee: 0.25% on total amount subscribed / offered **<br>(Min <b>MYR40</b> , Max MYR300)<br>手續費: 0.25% 的認購金額/ 收購款項 **<br>(最低收費: 馬幣40 / 最高收費:馬幣300)           |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                                                            | Handling Fee: HK\$200 per Voting per request **<br>手續費: 港元200 以每宗股東會申請計**                                                                                          |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                            | Handling Fee: MYR30 per stock per transaction**<br>手續費: 馬幣30 以每宗交易股份計**                                                                                            |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場                                                                               | ITEM<br>項目                                                                                                                                          | FEES DESCRIPTION<br>收費內容                                                                                                                                                                                                        |
|-----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| New Zealand<br>新西蘭                                                                                        | Dividend Collection<br>代收現金股息                                                                                                                       | Handling Fee: NZD30 per stock<br>Subject to 15% Dividend Tax *<br>手續費: 新西蘭元30 以每股份計<br>需繳納15% 的股息稅*                                                                                                                             |
|                                                                                                           | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: NZD30 per stock<br>手續費: 新西蘭元30 以每股份計                                                                                                                                                                              |
|                                                                                                           | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: NZD30 per stock **<br>手續費: 新西蘭元30 以每股份計**                                                                                                                                                                         |
|                                                                                                           | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                                                     | Handling Fee: NZD45.00 per stock per transaction**<br>手續費: 新西蘭元45.00 以每宗交易股份計**                                                                                                                                                 |
|                                                                                                           | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: USD90 per proxy voting request **<br>手續費: 美元90 以每宗申請計                                                                                                                                                             |
|                                                                                                           | Physical Certificate Deposit<br>存入實物股票                                                                                                              | 1. Handling Fee: USD100 per Certificate<br>(手續費: 美元100 以每一張股票計算)<br>2. Courier Fee: HKD300 **<br>(快遞費用: 港元300)**                                                                                                                |
| Philippines<br>菲律賓<br><br>(The new Fees<br>has been effective<br>since 15May26)<br>(新收費已於26年5<br>月15日起生效) | Dividend Collection<br>代收現金股息                                                                                                                       | Handling Fee: USD20 per stock,<br>Subject to 30% Dividend Tax *<br>手續費: 美元20 以每股份計<br>需繳納30% 的股息稅*                                                                                                                              |
|                                                                                                           | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: USD20 per stock<br>手續費: 美元20 以每股份計                                                                                                                                                                                |
|                                                                                                           | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: USD20 per stock **<br>手續費: 美元20 以每股份計 **                                                                                                                                                                          |
|                                                                                                           | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: USD180 per Voting per request **<br>手續費: 美元180 以每宗股東會申請計**                                                                                                                                                        |
|                                                                                                           | Electronic Transfer out to others clearing Participant (NCBO and applicable only with BSRD)<br>電子轉貨指示-轉移股票至其他結算參與者<br>(只限同名戶口提貨及持有當地央行發出之海外資金登記文件)  | Handling Fee: USD60 per stock**<br>手續費: 美元60 以每股份計**                                                                                                                                                                            |
|                                                                                                           | Custody Fee (Monthly)<br>託管費(每月收取)                                                                                                                  | <b>0.045%</b> / 12 month as of months ends market value - Min. USD2.00 (Fee will be charged from the securities account on the following month in USD)<br>投資組合總值 x <b>0.045%</b> / 12 按月尾市值收取 - 最低收美元2.00 (將在跟隨之月份早段以美元在證券賬戶扣除) |



## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                                                          | FEES DESCRIPTION<br>收費內容                                                                                  |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| Shanghai "B"<br>上海B股        | Dividend Collection<br>代收現金股息                                                                                                                       | Handling Fee: 0.30% of Net Dividend (Min. USD20)<br>手續費: 0.30% 之淨股息(最低收費:美元20)                            |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: USD20 per stock<br>手續費: 美元20 以每股份計                                                          |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: USD20 per stock **<br>手續費: 美元20 以每股份計**                                                     |
| Shenzhen "B"<br>深圳B股        | Dividend Collection<br>代收現金股息                                                                                                                       | Handling Fee: 0.30% of Net Dividend (Min. HK\$150)<br>手續費: 0.30% 之淨股息(最低收費:港元150)                         |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                                                                  | Handling Fee: HKD150 per stock<br>手續費: 港元150 以每一股份計                                                       |
|                             | Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee: HKD150 per stock **<br>手續費: 港元150 以每一股份計**                                                  |
| South Korea<br>南韓           | Cash / Scrip Dividend Collection<br>代收現金股息 / 以股代息 收集費                                                                                               | Handling Fee: KRW7,800 per stock<br>Subject to 22% Dividend Tax *<br>手續費: 南韓環7,800 以每宗股份計<br>需繳納22% 的股息稅* |
|                             | Bonus Issue<br>代收紅股                                                                                                                                 | Handling Fee: KRW9,000 per stock *<br>手續費: 南韓環9,000 以每宗股份計*                                               |
|                             | Rights Subscription / Warrants Exercise / Shares Conversion<br>(行使認股權証/供股權/公開配售/ 股份轉換)                                                              | Handling Fee: KRW9,000 per stock<br>手續費: 南韓環9,000 以每宗股份計                                                  |
|                             | Cash Offers / Privatizations & Others Corporate action with Cash consideration<br>(現金回購/私有化 及 其他公司行動有關之現金回購)                                        | Handling Fee: KRW9,000 per stock<br>手續費: 南韓環9,000 以每宗股份計                                                  |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                                                     | Handling Fee: HK\$200 per Voting per request **<br>手續費: 港元200 以每宗股東會申請計**                                 |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                       | FEES DESCRIPTION<br>收費內容                                                                                                                                                                     |
|-----------------------------|------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Taiwan<br>台灣                | Dividend Collection<br>代收現金股息                                                                                    | Handling Fee: TWD1,000 per stock<br>Subject to 21% Dividend Tax *<br>手續費: 新台幣1,000 以每宗股份計<br>需繳納21% 的股息稅*                                                                                    |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                               | Handling Fee: TWD1,000 per stock<br>Subject to Dividend Tax by the Issue Company *<br>手續費: 新台幣1,000 以每宗股份計<br>需繳納發行公司 的股息稅*                                                                  |
|                             | Cash Offers / Privatizations & Others Corporate action with<br>Cash consideration<br>(現金回購/私有化 及 其他公司行動有關之現金回購)  | Handling Fee: TWD1,000 per stock<br>Subject to Dividend Tax by the Issue Company *<br>手續費: 新台幣1,000 以每宗股份計<br>需繳納發行公司 的股息稅*                                                                  |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                  | Handling Fee: USD80 per proxy voting request **<br>手續費: 美元80 以每宗申請計                                                                                                                          |
|                             | Custody Fee (Monthly)<br>託管費(每月收取)                                                                               | 0.075% / 12 month as of months ends market value (Fee will be<br>charged from the securities account on the following month in USD)<br>投資組合總值 x 0.075% / 12 按月尾市值收取<br>(將在跟隨之月份早段以美元在證券賬戶扣除) |
|                             |                                                                                                                  |                                                                                                                                                                                              |
| Thailand<br>泰國              | Dividend Collection<br>代收現金股息                                                                                    | Handling Fee: THB500 per stock<br>Subject to 10% Dividend Tax*<br>手續費: 泰幣500 以每宗股份計<br>需繳納10% 的股息稅*                                                                                          |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                               | Handling Fee: THB500 per stock **<br>手續費: 泰幣500 以每宗股份計**                                                                                                                                     |
|                             | Cash Offers / Privatizations & Others Corporate actions with<br>Cash consideration<br>(現金回購/私有化 及 其他公司行動有關之現金回購) | Handling Fee: THB500 per stock **<br>手續費: 泰幣500 以每宗股份計**                                                                                                                                     |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                  | Handling Fee: THB1,000 per stock**<br>手續費: 泰幣1,000 以每宗股份計**                                                                                                                                  |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                  | Handling Fee: HK\$200 per Voting per request **<br>手續費: 港元200 以每宗股東會申請計**                                                                                                                    |
|                             | Physical Certificate Withdrawal / Deposit<br>提取/ 存入實物股票                                                          | 1. Handling Fee: HKD200 per stock per request **<br>手續費: 港元200 以每宗申請計**<br>2. Courier Fee: HKD300 per request **<br>快遞費用: 港元300 每宗計**                                                        |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| EQUITIES<br>MARKETS<br>股票市場 | ITEM<br>項目                                                                                                      | FEES DESCRIPTION<br>收費內容                                                                                                                          |
|-----------------------------|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| United Kingdom<br>英國        | Dividend Collection<br>代收現金股息                                                                                   | Collection Fee: 0.30% on net dividend (Min. GBP8 / USD15)<br>Subject to 10% Dividend Tax *<br>手續費: 0.30% 除稅後股息(最低收費: 英鎊8 / 美元 15)<br>需繳納10% 的股息稅* |
|                             | Bonus & Rights Issue<br>代收紅股 & 供股權                                                                              | Handling Fee: GBP8 per stock **<br>手續費: 英鎊8 以每宗股份計**                                                                                              |
|                             | Cash Offers / Privatizations & Others Corporate action with<br>Cash consideration<br>(現金回購/私有化 及 其他公司行動有關之現金回購) | Handling Fee: GBP8 per stock **<br>手續費: 英鎊8 以每宗股份計**                                                                                              |
|                             | Electronic Transfer out to others clearing Participant (NCBO)<br>電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)                 | Handling Fee: GBP30 per stock per transaction**<br>手續費: 英鎊30 以每宗股份交易計**                                                                           |
|                             | Proxy Voting Request<br>代履行投票服務                                                                                 | Handling Fee: USD90 per proxy voting request **<br>手續費: 美元90 以每宗申請計                                                                               |
|                             | Physical Certificate Deposit<br>存入實物股票                                                                          | 1. Handling Fee: USD 100 per Certificate<br>(手續費: 美元100 以每一張股票計算)<br>2. Courier Fee: HKD 300 **<br>(快遞費用: 港元300 )**                               |

### Section B

| PRODUCTS<br>產品 | ITEM<br>項目                                                                                                                                                | FEES DESCRIPTION<br>收費內容                                                                                                                                       |
|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Bonds<br>債券    | Dividend / Interest Collection<br>代收現金股息/利息                                                                                                               | Waived<br>豁免                                                                                                                                                   |
|                | Rights Subscription, Bonds Exercise, Open Offers, Cash<br>Offer, Bonds Conversions and Capital Reconstruction<br>代履行權責服務費<br>(如: 行使認股權証/供股權/贖回/現金回購/公開配售) | Handling Fee : USD80 per bond per instruction<br>手續費 美元80 以每宗債券指令計                                                                                             |
|                | Corporate Actions<br>代履行公司行動                                                                                                                              | Handling Fee : USD80 per bond per instruction<br>手續費 美元80 以每宗債券指令計                                                                                             |
|                | Exercise of bond conversion option (exchange into equity)<br>行使可轉換債券選項 (兌換為股票)                                                                            | Handling Fee : <b>USD150</b> per bond per instruction<br>手續費 <b>美元150</b> 以每宗債券指令計                                                                             |
|                | Custody Fee (charge in Quarterly)<br>託管費(每季收取)                                                                                                            | 0.025% p.a. calculated on Market Value as of Monthly-end<br>(Quarterly Min. USD30) - Charges in Quarterly<br>投資組合按月尾市值總值 x 0.025% / 12 (每季最低美元30) -<br>每季在戶口扣除 |
|                | Proxy Voting Request<br>代履行投票服務                                                                                                                           | Handling Fee : USD200 per voting request<br>手續費 美元200 以每宗投票處理計                                                                                                 |
|                | Electronic Transfer out to others Euroclear / Clearstream<br>Participant (NCBO)<br>電子轉貨指示-轉移股票至其他 Euroclear / Clearstream<br>參與者(只限同名戶口提貨)                | Handling Fee : USD80 per bond per transaction **<br>手續費 美元80 以每宗交易債券計**                                                                                        |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

| PRODUCTS<br>產品                                                    | ITEM<br>項目                                                                            | FEES DESCRIPTION<br>收費內容                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|-------------------------------------------------------------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| OTC Structured Products/<br>Certificate of Deposit<br>場外結構性產品/存款證 | Coupon / Interest Collection<br>代收現金票息/利息                                             | Collection Fee : 0.50% on net Coupon / Interest<br>(Min USD20, Max USD150)<br>(Min EUR20, Max EUR150)<br>(Min HKD150, Max HKD1,000)<br>(Min CNY150, Max CNY1,000)<br>(Min AUD32, Max AUD 244)<br>(Min CAD32, Max CAD 240)<br>(Min CHF18, Max CHF 136)<br>(Min GBP18, Max GBP130)<br>(Min JPY 3700, Max JPY 27,800)<br>(Min SGD30, Max SGD222)<br>(Other currencies will be equivalent to EUR) Subject to Domestic Dividend Tax *<br>手續費 : 0.50% 除稅後票息/利息<br>(最低收費:美元20, 最高收費:美元150)<br>(最低收費:歐元20, 最高收費:歐元150)<br>(最低收費:港元150, 最高收費:港元1,000)<br>(最低收費:人民幣150, 最高收費:人民幣1,000)<br>(最低收費 澳元32 · 最高收費 澳元244)<br>(最低收費 加元32 · 最高收費 加元240)<br>(最低收費 瑞士法郎18 · 最高 收費瑞士法郎136)<br>(最低收費 英鎊18 · 最高收費 英鎊130)<br>(最低收費 日元3,700 · 最高收費 日元27,800)<br>(最低收費 新加坡元30 · 最高收費 新加坡元222)<br>(其他貨幣以歐元等值計)<br>需繳納當地的股息稅 |
|                                                                   | Transfer out to others Financial Institution (NCBO only)<br>轉貨指示-轉移至其他金融機構 (只限同名戶口提貨) | HK\$200 per product per request**<br>每一產品申請計港元200**                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|                                                                   |                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| ELI Structured Products<br>股票掛鉤投資結構性產品                            | Coupon / Interest Collection<br>代收現金票息/利息                                             | Collection Fee : 0.50% on net Coupon / Interest<br>(Min USD10, Max USD75)<br>(Min EUR10, Max EUR75)<br>(Min HKD50, Max HKD500)<br>(Min CNY45, Max CNY500)<br>(Min AUD16, Max AUD 122)<br>(Min CAD16, Max CAD 120)<br>(Min CHF9, Max CHF 68)<br>(Min GBP9, Max GBP 65)<br>(Min JPY 1850, Max JPY 13,900)<br>(Min SGD15, Max SGD111)<br>(Other currencies will be equivalent to EUR) Subject to Domestic Dividend Tax *<br>手續費 : 0.50% 除稅後票息/利息<br>(最低收費:美元10, 最高收費:美元75)<br>(最低收費:歐元10, 最高收費:歐元75)<br>(最低收費:港元50, 最高收費:港元500)<br>(最低收費:人民幣45, 最高收費:人民幣500)<br>(最低收費 澳元16 · 最高收費 澳元122)<br>(最低收費 加元16 · 最高收費 加元120)<br>(最低收費 瑞士法郎9 · 最高 收費瑞士法郎68)<br>(最低收費 英鎊9 · 最高收費 英鎊65)<br>(最低收費 日元1,850 · 最高收費 日元 13,900)<br>(最低收費 新加坡元15 · 最高收費 新加坡元111)<br>(其他貨幣以歐元等值計) 需繳納當地的股息稅*                         |
|                                                                   | Transfer out to others Financial Institution (NCBO only)<br>轉貨指示-轉移至其他金融機構 (只限同名戶口提貨) | HK\$200 per product per request**<br>每一產品申請計港元200**                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

## Custodial Services & Nominees Fees 託管及代理人服務收費表

|                                |                                                                                      |                                                  |
|--------------------------------|--------------------------------------------------------------------------------------|--------------------------------------------------|
|                                |                                                                                      |                                                  |
| Mutual Funds<br>Market<br>互惠基金 | Transfer out to others Financial Institution (NCBO)<br>轉貨指示-轉移互惠基金至其他金融機構 (只限同名戶口提貨) | HK\$200 per fund per request**<br>每一基金申請計港元200** |
|                                |                                                                                      |                                                  |

Note: (1) The above Fees are subject to changes at the Company's sole discretion without prior notice.

(2) Clients may be required to pay additional fees charged the company for by third parties in relation to the provision of securities trading, custodian and/or nominee services.

注意: (1)上述費用可由公司自行決定更改，恕不另行通知。

(2) 客戶可能需要向本公司支付就第三方提供的證券交易，保管人和/或代理人服務收取的額外費用。

Remark: \* Subject to levy the maximum Countries tax rate and the change by relevant Countries tax policy.

\*\*N.B. – All out-of-pocket expenses are to be borne by clients

備註：\* 須遵守該國最高稅率以及相關國家稅收政策的變化。

\*\* N.B. - 所有因服務而產生之額外費用均由客戶承擔