

**FUTURES INTERNET TRADING ACCOUNT APPLICATION**

**PERSONAL PARTICULARS**

Full Name

Account no.

\_\_\_\_\_

\_\_\_\_\_

E-mail Address (max 50 letters/digits):

The new e-mail address above shall override any e-mail registered previously, and use for receiving e-statement. I / We hereby confirm that the above email address is for my / our own use only and not accessible by any officer or employee of your company or its associated entity.

**PRICE QUOTE SERVICE**

Please tick service you wish to apply for

- Real-Time quotation fee will be deducted from your account on the 1st working day of each calendar month.

**Level 1**

Real Time Top of Book – top bid, bid size, top ask, ask size, last trade, trade volume and time stamp data.

**Level 2**

Real Time Market Depth Information – 5-10 deep on futures, 2-3 deep on options and trade data for any CME Globex products.

**Package**

One price for all 4 markets quote.

Exchange	Delay	Non Professional	Non Professional	Professional (with discount)
		Level 1	Level 2	
CBOT	<input type="checkbox"/> Free	<input type="checkbox"/> US\$1.	<input type="checkbox"/> US\$5.	<input type="checkbox"/> US\$85 US\$42.5
CME	<input type="checkbox"/> Free	<input type="checkbox"/> US\$1.	<input type="checkbox"/> US\$5.	<input type="checkbox"/> US\$85 US\$42.5
COMEX	<input type="checkbox"/> Free	<input type="checkbox"/> US\$1.	<input type="checkbox"/> US\$5.	<input type="checkbox"/> US\$85 US\$42.5
NYMEX	<input type="checkbox"/> Free	<input type="checkbox"/> US\$1.	<input type="checkbox"/> US\$5.	<input type="checkbox"/> US\$85 US\$42.5
Package		<input type="checkbox"/> US\$3.	<input type="checkbox"/> US\$15.	

***A valid W-8BEN and Client Money Standing Authority forms are mandatory for global futures trading***

***Join Promotion***

For any new/existing online clients who subscribe CME non-professional data, monthly data fee can be waived.

**Terms and Conditions**

- Promotion period: From 1 Aug 2019 till further notice
- Promotion is only valid for non-professional data service.
- Quotas are limited and subject to availability.
- The amount of fee being waived depends on client's option. (USD1 – USD 15)
- UOB Kay Hian (Hong Kong) Limited reserves the right to suspend, amend and terminate the above offers, and change the terms and conditions without prior notice.
- UOB Kay Hian (Hong Kong) Limited reserves the right of final decision in the event of dispute.

## Subscriber Self Certification

Subscribers should sign below and return this Self-Certification form.

Based on the Subscriber's qualifications, Subscribers may be charged reduced Fees for Information. To qualify for the reduction in Subscriber Fees, Subscriber must be considered a "Non-Professional". **Non-Professional** shall mean and include either :

- i. An individual, natural person Subscriber(s) who, or
- ii. Certain small business entities (limited liability companies, partnerships, trusts or corporations) that, receive and use Information (excluding any pit traded data), in each case subject to the following restrictions:

**I hereby certify that I qualify as a** (please tick a box below), **pursuant to the definition described herein.**

**Non-Professional Subscriber**

- a) The Subscriber must have an active futures trading account;
- b) The Subscriber must not be a member (or hold or lease any type membership) of any exchange;
- c) The Subscriber does not have a primary business purpose that involves trading;
- d) The Subscriber must not be registered or qualified as a professional trader or investment adviser with any stock, commodities or futures exchange or contract market, or with any regulatory authority, professional association or recognized professional body; and
- e) The Subscriber must not be affiliated with any entity that is or may be considered a Professional User; and ***Non-Professional Subscriber's Use of Information***
- f) The Subscriber's use of Information must be solely for the Subscriber's personal, non-business use;
- g) The Subscriber's use of Information must be limited to managing the Subscriber's own property and, for the avoidance of doubt, not in connection with the management of any property of any third party(ies) in any capacity, whether as a principal, officer, partner, employee or agent of any business or on behalf of any other individual, and whether or not the Subscriber receives any remuneration therefor; and
- h) The Subscriber must not be acting on behalf of an institution that engages in brokerage, banking, investment, or financial activities;

**Professional Subscriber**

Any Subscriber who does not meet the qualifications of a Non-Professional or falls under the categories described below shall be considered a Professional. Notwithstanding anything else herein, **Professionals** shall include, without limitation:

- i. Any person or entity that provides financial or similar services to any third party.
- ii. Any person that is registered or qualified as a professional trader or investment adviser with any stock, commodities or futures exchange or contract market, or with any regulatory authority, professional association or recognized professional body.
- iii. Any person or entity that acts on behalf of an institution that engages in brokerage, banking, investment or financial activities.

**DECLARATION**

- 1. I / We acknowledge that I /we have received, read and understood fully the Standard Terms and Conditions and agree to be bound by them.
- 2. I / We represent that the information provided by me /us in this form furnished or to be furnished by me /us is true, accurate and complete.

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date:

**FOR OFFICIAL USE ONLY**

**TO BE COMPLETED BY ACCOUNT EXECUTIVE**

Online Rate	HSI/HHI			Name of AE:	
		(Day trade)	(overnight)	Date:	
	MHI/MCH			Signature of AE:	
		(Day trade)	(overnight)		