

互聯網賬戶及報價服務申請表

日期 Date: _____

Application form for online trading account and price quote service

請在所擬申請之服務格內加上剔號 Please tick service if you wish to apply for

- | | |
|---|--|
| <input type="checkbox"/> 互聯網證券交易戶口
Internet Securities Trading Account | <input type="checkbox"/> 互聯網股票期權交易戶口
Internet Stock Options Trading Account |
|---|--|

帳戶號碼:
Account No: _____

帳戶名稱:
Account Name: _____

電郵地址

Email address: _____

本電郵地址將用於接收互聯網交易密碼以及收取電子結單，同時終止寄送實體結單。本人/吾等謹此確認以上電郵地址為本人/吾等所擁有，並且不會讓貴公司或其有聯繫實體之僱員登入及使用。

This email address will be used for receiving internet trading password and e-statement. Meanwhile physical statement delivery will be terminated. I / We hereby confirm that the above email address is for my / our own use only and not accessible by any officer or employee of your company or its associated entity.

如已有任何之前已登記的電郵地址，請於以下指示加上剔號：If any email was already registered previously, please tick instruction below:

- 以上新電郵地址取代任何之前已登記的電郵地址
The above new e-mail address shall override any email registered previously
- 以上新電郵地址為一新增電郵地址，之前已登記的電郵地址將會被保留
The above new e-mail address is additional new e-mail address and all emails registered previously will be retained

報價服務 Price Quote Service (如需要報價服務，請在所擬申請之服務格內加上剔號 Please tick service if you wish to apply for)

- | | |
|--|---|
| <input type="checkbox"/> 港股即按式即時報價 (可於 2 個月內免費按 500 次)
Real-time Snapshot (500 clicks for FREE for 2 calendar months) | <input type="checkbox"/> 港股即時串流報價-ETNet(月費:港幣\$338)
HK Stocks Streaming Real-time (HK\$338)** |
| <input type="checkbox"/> 上海 A 股即時串流報價-ETNet(月費:港幣\$380)
Shanghai A Shares Real-time streaming (HK\$380)** | <input type="checkbox"/> 美股即時串流報價-專業用戶(月費:美金\$110)**
US Streaming Real-time – Professional User (USD110)** |
| <input type="checkbox"/> 購買-即按式即時報價 (港幣 25 / 200 個)
Purchase Real-Time Snapshot \$ _____ (HK\$25 / 200 Quote) | |

**備註: 請參閱第 2 頁有關以上服務之條款及細則。

**Remarks: Please refer to P.2 for the terms & conditions of above services.

^非專業用戶訂閱美股即時串流報價服務(月費:美金\$10)，需登入互聯網證券交易戶口提交申請。

^Nonprofessional subscriber of US real-time streaming service (USD10) should login to the internet securities trading account to submit the application.

Declaration

- 本人同意遵守及確認收妥大華繼顯（香港）有限公司（「大華繼顯（香港）」）規管互聯網交易中各項交易時通行的標準條款與細則。I agree to abide by and acknowledge receipt of UOB Kay Hian (Hong Kong) Limited's (UOBKHHK) standard Terms and Conditions governing internet trading for all dealings, prevailing from time to time.
- 本人/吾等謹此確認以上電郵地址為本人/吾等所擁有，並且不會讓大華繼顯(香港)有限公司或其有聯繫實體之僱員登入及使用。I / We hereby confirm that the above email address is for my / our own use only and shall not be accessible by any officer or employee of UOB Kay Hian (Hong Kong) Limited or its associated entity.
- 本人在此確認大華繼顯（香港）有限公司（「大華繼顯（香港）」）提供的報價服務及同意遵守本申請表第 2 頁所附關於報價服務條款與細則。I hereby confirm and acknowledge the subscription of the price quote services and terms and Conditions in P.2 which provided by UOB Kay Hian (Hong Kong) Limited's (UOBKHHK).

申請人簽署 Signature of Applicant

日期 Date

只供本公司使用 For internal use only 由交易代表填寫 TO BE COMPLETED BY ACCOUNT EXECUTIVE

Brokerage rate of Internet A/C :

HKG (% and Min)	USD (% and Min)	HKA (% and Min)	HKZ (% and Min)

Agreed & Accepted by :

Name and Signature of AE

由客戶服務部填寫 To be completed by Client Service Dept

簽名核證員簽署/日期

審核員/日期

條款及細則：

Terms and Conditions:

1. 客戶必須要申請港股串流報價，方可申請上海 A 股串流報價服務。
Clients must apply for Hong Kong Stocks Real-time Streaming Service in order to apply for the Shanghai A-Share Real-time Streaming Service.
2. 港股串流報價月費為 338 港元，上海 A 股串流報價服務月費為 380 港元，即申請上海 A 股串流報價的收費合共 718 港元。
Hong Kong Stocks Real-time Streaming Service costs HKD 338 per month. Shanghai A-Share Real-time Streaming Service costs HKD 380 per month. If you subscribe Shanghai A-share Real-time Streaming Services, the total cost is HKD718.
3. 上海 A 股串流報價用戶的網上港股或 A 股交易佣金若達 5,680 港元(按照客戶於上一個月 26 號至今個月的 25 號期間買賣 A 股或港股所支付的佣金計算)，客戶於該月被收取的月費(718 港元)將於下一個月的第一個工作天全數回贈。
Client subscribers of Shanghai A-share Real-time Streaming Services who can generate online commission of HKD 5,680 or more in a month via trading HK stocks or A shares (commission paid for A-Share/ HK online transactions executed between the 26th of the previous month and the 25th of the current month), are entitled to free Shanghai A-Share real-time streaming service. The charged monthly fee HKD 718 will be fully rebated to the clients on the first day of the following month.
4. 如需申請美股即時串流報價服務，閣下必須需登入互聯網證券交易戶口申報為非專業用戶並提交申請。非專業用戶是指非證券專業人士及瀏覽市場數據目的僅為其個人使用而非商業用途之用戶。
To subscribe the US real-time streaming service, you must login to the internet securities trading account to declare your status as nonprofessional subscriber and submit your application. Nonprofessional subscriber means any natural person who receives market data solely for his/her personal, nonbusiness use and who is not a "Securities Professional".
5. 非專業用戶訂閱美股即時串流報價服務的標準月費為 10 美元。
For nonprofessional subscriber, the standard monthly fee for US real-time streaming service is USD10.
6. 新非專業用戶及現有非專業用戶每月於網上交易美股超過 9 單或佣金達 180 美元以上(按照客戶於上月的 26 日至本月的 25 日期間買賣美股所成功進行的交易計算)，於下一個月的第一個工作天起可享用一個月的免費即時串流報價服務。
New and existing clients who execute 9 or more U.S. trades or paid online commissions of USD180 or more (for U.S. online transactions executed between the 26th of the previous month and the 25th of the current month), will enjoy free real-time streaming service from the first working day of the following month.
7. 新專業用戶及現有專業用戶每月於網上交易美股超過 45 單或佣金達 900 美元以上(按照客戶於上月的 26 日至本月的 25 日期間買賣美股所成功進行的交易計算)，於下一個月的第一個工作天起可享用一個月的免費即時串流報價服務。
New professional client and existing professional clients who execute 45 or more U.S. trades or paid online commissions of USD900 or more (for U.S. online transactions executed between the 26th of the previous month and the 25th of the current month), will enjoy free real-time streaming service from the first working day of the following month.
8. 報價服務申請需時最少 2 個工作天。
Please allow at least 2 working days for the activation of streaming service on your account.
9. 報價服務費用按月計算。客戶如在一個月的中途開始使用服務，仍須繳付整個月份的費用。舉例：假設客戶由 2016 年 12 月 12 日開始使用報價服務，仍須繳付整個 2016 年 12 月的服務費用。
Charges for streaming services are on monthly basis. If your subscription is activated in the middle of the month, you will be billed for the entire month. Example: If your subscription starts on 12 December 2016, you will be billed for the entire month of December 2016.
10. 報價服務費用將於每月第一個工作天由戶口中扣除。舉例：假設客戶由 2016 年 12 月開始享用服務，本公司會於 2016 年 12 月 1 日從戶口扣除費用。
You will be debited on monthly basis on the first business day of each month. For example, for clients who start the service in December 2016, the service charge will be debited from their accounts on 1 December 2016.
11. 客戶如要終止報價服務，須於最少 2 個工作天前通知本公司。
Clients must give at least 2 working-day notice for termination of streaming services.
12. 客戶如在一個月的中途終止報價服務，該月已繳付的費用不予退還。
There will be no refund if you terminate the streaming service within the month.
13. 本公司保留權利隨時更改其條款及細則，並毋須另行通知。
UOB Kay Hian (Hong Kong) Limited reserves the right to amend the terms and conditions without prior notice.
14. 如有爭議，本公司保留一切最終決定權。
UOB Kay Hian (Hong Kong) Limited reserves the right of final decision in the event of dispute.