

TO: UOB Kay Hian Group Companies ("Company")  
致: 大華繼顯集團公司(「本公司」)  
6/F Harcourt House, 39 Gloucester Road, Hong Kong  
香港告士打道三十九號夏慤大廈六樓

**Applicant's Consent to Use of Personal Data**  
**申請人個人資料使用同意書**

I/We have carefully read, fully understood and agreed to accept and bound by "Information to Account Holders pursuant to the Personal Data (Privacy) Ordinance" as set out in the Company's Client Agreement, Terms & Condition ("T&C"). In particular, 本人/吾等已仔細閱讀, 完全理解並同意接受並遵守載於【客戶協議書、條款及細則】中「按個人資料(私隱)條例提供予戶口持有人的資料」(「客戶條款及條款」)有關個人資料(私隱)條例的通知及聲明。尤其是, I/we hereby consent to the use of my/our personal data for direct marketing directly related to the opening or continuation of my/our accounts with the Company and the provision of financial services by the Company to me/us. 本人/吾等同意使用本人/吾等的客戶資料作為與本人/吾等於 貴公司開立或繼續維持賬戶及 貴公司向本人/吾等提供相關金融服務的直接促銷之用。

**For Corporate account applicant only 只適用於申請公司戶口:-**

Where personal data of information relating to any of our representatives (including but not limited to directors, employees, agents, customer (direct or indirect) or affiliates) or any third party is provided to or held by the Company in the course of its business dealings with the Company, we undertake and represent that we have obtained the relevant consent of our representatives to enable the Company to use, process, deal, share or transfer such data or information in accordance with the purposes and requirements of "Information to Account Holders pursuant to the Personal Data (Privacy) Ordinance" of the T&C" and we agree that we will promptly provide evidence of such consents as and when requested by the Company. 如吾等在與貴公司進行業務交易過程中向 貴公司提供或被 貴公司所持有吾等任何代表(包括董事、僱員、代理、客戶(直接或間接)或關聯方)或任何第三方的個人資料或數據, 吾等承諾並聲明, 吾等已經獲得吾等代表的相關同意, 准許 貴公司根據客戶協議 附錄一和附錄二所述目的和要求使用、處理、處置、分享或轉移這些資料或數據, 並且吾等同意將在 貴公司提出請求時及時向 貴 公司提供有關上述同意的證明。

**Opt-out request (For applicant who want to provide selective consent or do not want to provide the above consent)**

**拒收申請 (適用於欲選擇性同意或不欲提供以上同意書之申請人)**

I/we do not wish the Company to use my/our Data in direct marketing or do not wish to receive direct marketing materials of the following channel(s):-

本人不欲 貴公司將本人/吾等的個人資料用於直銷推廣/本人不欲收到 貴公司經以下途徑發出的任何直銷推廣資料或訊息:

<input type="checkbox"/> Mail 郵寄	<input type="checkbox"/> Phone 電話
<input type="checkbox"/> Email 電郵	<input type="checkbox"/> SMS 短訊
<input type="checkbox"/> All channels (including email, mail, SMS, phone) 所有途徑 (包括電郵、郵寄、短訊、電話)	

Note: The above choice applies to the direct marketing of the classes of products, services and/or subjects as set out in the T&C. Please refer to the "Information to Account Holders pursuant to the Personal Data (Privacy) Ordinance" in the final part of the Company's T&C on the kinds of data which may be used in direct marketing and the classes of persons to which Data may be provided for them to use in direct marketing. Please return the completed form to our customer services officer. Please note that your consent or opt-out request will be effective after we received the completed and signed form from you. 註: 請注意, 閣下的以上選擇適用於客戶條款及條款內列明的各類產品、服務及/或項目的直銷推廣。此外, 請參閱客戶條款及細則最後一部份的「按個人資料(私隱)條例提供予戶口持有人的資料」, 留意所述哪些個人資料可能會用於直銷推廣, 以及哪些人士可能會獲提閣下的個人資料作直銷推廣用途。請將已填妥及簽署的同意書交回 本公司客戶服務部, 本公司收到 閣下的同意書後, 本同意書方會生效。

Full Name 客戶全名: \_\_\_\_\_

Account Number 帳戶號碼: \_\_\_\_\_

Client's Signature 客戶簽署: \_\_\_\_\_

(business chop (if any) 業務印章 (如有))

Date 日期: \_\_\_\_\_