UTRADE Conditional Orders in HK Market

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Introduction

Order Types

At-auction Order

An at-auction order is only available within pre-opening session and closing auction session (CAS). An at-auction order is an order with no specified price and is entered into the AMS/3 (a trading system developed by the Stock Exchange of Hong Kong Ltd) for execution at the final Indicative Equilibrium Price (IEP). It enjoys a higher order matching priority than an at-auction limit order and will be matched in time priority at the final IEP. Any outstanding at-auction orders after the end of the Pre-opening Session will be cancelled before the commencement of the Continuous Trading Session.

At-auction Limit Order

An at-auction limit is only available within the auction period. It is an order with a specified price. An at-auction limit order with a specified price at or more competitive than the final IEP (in case of buying, the specified price is equal to or higher than the final IEP, or in case of selling, the specified price is equal to or lower than the final IEP) may be matched at the final IEP subject to availability of eligible matching order on the opposite side. An at-auction limit order will be matched in price and time priority at the final IEP. No at-auction limit order will be matched at a price worse than the final IEP.

Limit Order

A limit order will allow matching only at the specified price. The sell order input price cannot be made at a price below the best bid price, if available whereas the buy order input price cannot be made at a price above the best ask price, if available. Any outstanding limit order will be put in the price queue of the input price.

Enhanced Limit Order

An enhanced limit order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. The sell order input price cannot be made at a price of 10 spreads (or more) below the current bid price whereas the buy order input price cannot be made at a price of 10 spreads (or more) above the current ask price. Any outstanding enhanced limit order will be treated as a limit order and put in the price queue of the input price.

Special Limit Order

A Special Limit Order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. The sell order input price cannot be made at a price of 10 spreads (or more) below the current bid price whereas the buy order input price cannot be made at a price of 10 spreads (or more) above the current ask price. Any outstanding special limit order will be cancelled.

The Special Limit order is only available to place during continuous trading session (not available in pre-opening session / closing auction session. It is advised not to add conditional order for Special Limit order as unmatched orders will be withdrawn immediately after execution. Thus result may not suit the purpose of investment needs.

Reference: Hong Kong Exchange and Clearing Website https://www.hkex.com.hk/eng/market/sec_tradinfra/tradmech.htm

UTRADE Web Application

Conditional Order Types

When Nominal Rises At/Above

When Nominal Rise At/Above Order allows you to set trading condition to an order. When the nominal price reaches or rises higher than the preset trigger price, the system will automatically send the order to the market.

For example, the nominal price is now at \$64. When the nominal price rises and reaches the trigger price at \$65, the order will release to the market and sell at \$65:

ſ	Order Input	Cond Order		HKEX	Us	6 A	Shares (SH/SZ	.)	
1			Ô	HSI ¥ 25,01	8.46	- 1128.21	T/O	153.91	1B	CEI 🔻 9,9
	Security Nam	CK HUTCHISON	Q	Quote	Transacti	ion Comp Ir	nfo Relat	ed Sec	: Broke	r Trans
	1	HOLDINGS LTD.	~	Code/Nar	ne 💙 000	01 CKH HO	LDINGS			
	Mark	et HKEX - Hong Kong Excha 🔻	CG	нко 🔻	64.000	- 2.600 (- 3.904%)	BID 63.9	50	64	ASK .000
			80	High		65.000	26%			74%
	Buy/Se	Sell T	аź	Low		63.550	(24)	37K	12K	(14)
	-			Open		64.900	(10)	58K	23K	(6)
	Order Typ	E - Enhanced Limit 🔻		Prv Cls		66.600	(93)	197K	14K	(7)
			e	Volume		9.524M	(14)	60K	9,000	(6)
	Stock Cor	0001		Turnover		610.949M	15:52:	25 A	1,000	64.000
	Stock Cot		<u></u> {6}	No. of Tr		4,066	15:52:	24 A	500	64.000
		65	~~	Board Lot	/Cur	500/HKD	15:52:	24 A	500	64.000
	Pric			Spread		0.050/0.050	10:02:	24 Α 24 Δ	1,000	64.000
				IEP/IEV			15:52:	23 A	1,000	64.000
	Q	ty 500		P/E Ratio		6.330	15:52:	22 A	500	64.000
		00.500.00		E. P/E		6.142	15:52:	20 A	500	64.000
		32,500.00		% Yield		4.953%				64.50
				E. % Yield		5.203%	1	Mm_	m	04.50
		Order Clear		1 mth H/L		71.850/66.250	War			-64.00
				52 wk H/L		83.280/63.430	10 11	12	14 15	16

- 1. Select Hong Kong Exchange and click Sell
- 2. Select Type E-Enh. Limit
- 3. Input Stock Code, Price and Quantity

Order Input	Cond Order	НК	EX	US		A Shares	(SH/SZ)		
Security Nam	e CK HUTCHISON HOLDINGS LTD.		v 25,01 uote ⊂ ode/Nan	8.46 Fransactio ne 💙 0000	-1128.21 on Con 01 CKH	T/O Ip Info Rela HOLDINGS	153.91E ated Sec	Broker	CEI▼9,9 Trans
Marke	HKEX - Hong Kong Excha 🔻	CG 📄	-	64.000	- 2.60 (- 3.9	⁰ BID 04%) 63	.950	64.0	АSК 00
Buy/Se	II Sell 🔻	%Р ні 466 Ц<	Orde	er Input	Cond	l Order			4% 4) 3)
Order Typ	e E - Enhanced Limit 🔻	Pr E Va	Sen	d Condi	tion	Send Whe	n Nominal	Rise 🔻	7) 6)
Stock Cod	e 0001	iiii π ε∂3 Να	Т	riaaer P	rice	65			
Pric	e 65 🕒 🗘	Si		Stop P					
Qt	y 500 🕒 🛟	IE P/		otop i					
	32,500.00	E. %		Expiry D	Date				
	Order Clear	E. 1 52		-1.1/-1	42	477			4.50 4.00

- 4. Select Send When Nominal Rises At/Above from Send Condition
- 5. Input Trigger Price *
- 6. Click Order to place order

- *Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

Send When Nominal Falls At/Below

When Nominal Falls At/Below allows you to set trading condition to an order. When the nominal price reaches or drops lower than the preset trigger price, the system will automatically send the order to the market.

For example, the nominal price is now at \$63.9. When the nominal price drops and reaches the trigger price at \$62, the order will release to the market and buy at \$62:



- 1. Select Hong Kong Exchange and click Buy
- 2. Select Type E-Enh. Limit.
- 3. Input Stock Code, Price and Quantity

Order Input	Cond Order	ŀ	IKEX	US		A Shares (SH/SZ)	
Security Nan	ne CK HUTCHISON HOLDINGS LTD.		HSI ▼ 25,0 Quote Code/Na	115.99 - 11 Transaction me ♥ 00001	30.68 Comp CKH H	T/O Info Relati OLDINGS	158.45B ed Sec Bro	CEI▼9,9 ker Trans I
Mark	HKEX - Hong Kong Excha 🔻	CG 🎭	HKD High	63.950	- 2.650 (- 3.979	^{%)} 63.9	50 6	ASK 64.000
Buy/S	ell Buy 🔻	1	Low Open	Order Input	CO	na Order		_
Order Ty	Pe E - Enhanced Limit 🔻		Prv Cls Volume	Send Cond	lition	Send Whe	en Nominal F	all: 🔻
Stock Co	de 0001	() () ()	Turnove No. of Tr	Trigger l	Price	62		
Pri	ce 62		Board Lo Spread					
Q	ty 500 🕒 🖯		IEP/IEV P/E Ratio	Expiry	Date			
	31,000.00		6. P/E % Yield					
	Order Clear		E. % Yiel 1 mth H/ 52 wk H/		49.47	, 10 11	12 17 .	0

- 4. Select Send When Nominal Falls At/Below from Send Condition
- 5. Input Trigger Price
- 6. Click Order to place order

- * Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

Hold Until I Release

Hold Until I Release allows you to hold an order in the trading system. You can release the order to the market anytime by clicking Release Order.

ſ	Order Input	cond Order			HKEX	US	A	Shares	(SH/SZ)			
L	Security Name	CK HUTCHISON HOLDINGS LTD.		Z O D	HSI▼ 25,0 Quote Code/Na	047.92 Transactio ame ❤ 0000	1098.75 on Comp I 1 CKH HO	T/O nfo Rela	159.900 ated Sec	B Broker	CEI▼9, Trans	,9
	Market	HKEX - Hong Kong Excha	~	CG	нкр	64.100	- 2.500 (- 3.754%	BID 64	.100	64.	ASK 100	¢
	Buy/Sell	Buy	T	90 60 60		der Input	Cond C	Order			64%	
	Order Type	E - Enhanced Limit	T	ř.	Pr	n d O an di	tian H	old Until	I Release	5		
	Stock Code	0001		譜 心	Pr Oi	na Conai	tion		Trelease	,		
	Price	62	Ð	~	Vc Tu		rice 0					I
	Qty	500	D		N(B(
		31,000.00			Sr IEI	Expiry [Date				50	0
		Order Clear			E. %						30	0
					-							

- 1. Select Hong Kong Exchange and click Buy or Sell
- 2. Select type L-Limit or E-Enh. Limit
- 3. Input Stock Code, Price and Quantity
- 4. Select Hold Until I Release from Send Condition
- 5. Click **Order** to place order

Portfolio Today's Order O/S Order Market: HKEX Amend/Cancel Status Order No. Buy/Se Buy/Se 1 Amend Cancel NEW(H) 714073 Buy Product: 0001 2 Amend Cancel NEW(H) 714070 Buy Executed: 0	
Amend/Cancel Status Order No. Buy/Set Buy/Set Buy/Set 1 Amend Cancel NEW(H) 714073 Buy Order Type: 62 2 Amend Cancel NEW(H) 714070 Buy Status: NEW 2 Amend Cancel NEW(H) 714070 Buy Status: NEW	
Amend Cancel NEW(H) 714073 Buy Price: 62 2 Amend Cancel NEW(H) 714070 Buy Status: NEW Executed: 0 0 0 0 0	
2 Amend Cancel NEW(H) 714070 C Buy C Status: NEW Executed: 0	
	Confirm Cancel

6. Click **New** from Status of Today's Order and select **Confirm** to release order

Stop Limit

Stop limit is an order to reduce loss or protect profit. When the trigger price is reached, the order will be sent to the market as a **Limit Order**.

For example, the nominal price is now at \$64.0. When the nominal price drops to \$62.5 and reaches the trigger price, the trading system will automatically send a Limit Order at \$62.5 to the market and sell no lower than \$62. If the order can not be fully executed, the outstanding quantity will be put in the price queue at \$62:



- 1. Select Hong Kong Exchange and click Sell
- 2. Select Type L Price Limit
- 3. Input Stock Code, Price and Quantity

Order Input	Cond Order	HKEX US A Shares (SH/SZ)
Security Nan	CK HUTCHISON HOLDINGS LTD.	HSI ▼ 25,040.46 -1108.21 T/O 169.84B CEI ▼ 9, Q Quote Transaction Comp Info Related Sec Broker Trans ∠ Code/Name ✓ 00001 CKH HOLDINGS
Mark	et HKEX - Hong Kong Excha 🔻	C6 HID C64.000 -2.600 (-3.904%) BID 63.950 64.000 64.000
Buy/Se	Sell V	Order Input Cond Order
Order Ty	e L - Price Limit 🔹	
Stock Co	ie 1	Send Condition Stop Limit
Prie	e 62 🕒 🗘	Trigger Price 62.5
٩	ty 500 🕒 🕄	Stop Price
	31,000.00	Expiry Date
		% Yield 4.953% 10 11 12 14 15 16

- 4. Select Stop Limit from Send Condition
- 5. Input Trigger Price*
- 6. Input Expiry Date if needed
- 7. Click Order to place order

- * Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- The Trigger Price of a **Buy Stop Limit Order** must be equal or lower than Order Price; the Order Price and Trigger Price must be higher than Nominal Price.
- The Trigger Price of a **Sell Stop Limit Order** must be equal or higher than Order Price; the Order Price and Trigger Price must be lower than Nominal Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

OCO (One Cancels the Other)

OCO order includes Limit Order and Stop Loss Order at the same time. OCO order can help you to achieve your profit target, at the same time, reduce the risk of loss due to opposite market trend.

If the limit order of OCO order is executed, the stop loss order will be cancelled. Conversely, if the stop loss order is executed, the limit order will be cancelled.

For example, the nominal price is now at \$64. An OCO is placed and target to sell at \$65 to take profit. However, the market goes oppositely, when nominal price drops to \$63.9, the trigger price \$63.9 will be triggered and the Stop Loss Order will be sent to the market and sell no lower than \$63.5. The Limit Order at \$65 will be automatically cancelled once the Stop Loss Order is triggered:

	Order Input	Cond Order		HKEX	U	5 A	Shares (SH/SZ)		
1			Ô	HSI▼ 25,04	10.46	- 1108.21	T/O	169.64	ıв	CEI 🔻	9,9
	Security Nam		Q	Quote	Transact	ion Comp In	fo Relat	ed Sec	Broke	r Trar	ns I
	1	HOLDINGS LTD.	~	Code/Nar	ne 💙 000	01 CKH HOI	DINGS				
	Marke	t HKEX - Hong Kong Excha 🔻	CG	нко 🔻	64.000	- 2.600 (- 3.904%)	BID 63.9	950	64.	A 000	sκ
			80	High		65.000	28%			7	2%
	Buy/Se	II Sell 🔻	46	Low		63.550	(1)	4,500 75K	159K 81K	(1)	2) 5)
				Open		64.900	(10)	91K	308K	(2	3)
	Order Typ	L - Price Limit		Prv Cls		66.600	(95)	224K	84K	()	9)
				Reference	Price	64.000	(16)	106K	124K	(1	2)
	Stock Cod	1	1	Price Limit	t	60.800-67.200	16:08 :	25 U	1.0M	64.000	
	SLOCK COU	e	ැති	Order Imb	alance		15:59:	55 A	500	64.000	1
		65	475	Volume		10.854M	15:59:	51 B	4,500	63.950	
	Pric	e 00 🖵 🖵 🖵		Turnover		696.082M	15:59:	47 B 47 P	500	63.950	
				No. of Tr		4,334	15:59:	46 B	7.500	63.950	
	Qt	y 500 🖵 🛨		Board Lot	Cur	500/HKD	15:59:	46 B	13K	63.950	
				Spread		0.050/0.050	15:59:	46 A	500	64.000	
		32,500.00		IEP/IEV							50
				P/E Ratio		6.330	1	Mm_	non		.50
		Order Clear		E. P/E		6.142	Www~	-		-64	.00
				% Yield		4.953%	10 1:	1 12	14 15	16	

- 1. Select Hong Kong Exchange and click Sell
- 2. Select Type E-Enh. Limit.
- 3. Input Stock Code, Price and Quantity

Order Input	Cond Order	н	KEX	US	3	A Shares	(SH/SZ)	
Security Name	CK HUTCHISON HOLDINGS LTD.	L O D ₹	SI ▼ 25,04 Quote	0.46 Fransacti ne ❤ 000	-1108.21 ion Comp 01 CKH H	T/O Info Rela IOLDINGS	169.64B ted Sec Broke	CEI ▼ 9,9 er Trans
Marke Buy/Sel	HKEX - Hong Kong Excha	1 1	order	64 000 Input	-2.600	BID Order		ASK 2%
Order Type	L - Price Limit		Send	Condit	ion	000		• • • •
Stock Code	1	((()))))))))))))	Tri	gger Pi	rice ⁶³	3.9		276
Price	65 🕒 🖯	~ I		Stop Pi	rice 6	3.5		
Qty	, 500 🕒 🕒		E	xpiry D	ate			
	32,500.00							.50
	Order Clear							.00

- 4. Select **OCO** from **Send Condition**
- 5. Input Trigger Price* and Stop Price
- 6. Click **OK** to place order

- * Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

UTRADE Mobile Application

Conditional Order Types

When Nominal Rises At/Above

When Nominal Rise At/Above Order allows you to set trading condition to an order. When the nominal price reaches or rises higher than the preset trigger price, the system will automatically send the order to the market.

For example, the nominal price is now at \$70.8. When the nominal price rises and reaches the trigger price at \$71, the order will release to the market and sell at \$71:



- 1. Select HK on the Market (HK/A/US) tabs at the top
- Input Stock Code, Order Price, QTY and Order Type (You can press Nominal, Bid/Ask prices to copy stock prices directly to Order Sheet)
- 3. Select Send When Nominal Rises At/Above in condition.
- 4. Input Trig. Price (Trigger Price) *
- 5. Press **Buy/Sell** button



- 6. Press Confirm button to confirm order detail
- 7. You will see your Order Ref. No. after an order is successfully sent

- * Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

Send When Nominal Falls At/Below

When Nominal Falls At/Below allows you to set trading condition to an order. When the nominal price reaches or drops lower than the preset trigger price, the system will automatically send the order to the market

For example, the nominal price is now at \$63.9. When the nominal price drops and reaches the trigger price at \$62, the order will release to the market and buy at \$62:

l	Place Order	Ore	ler Book	Po	rtfolio	Cash O
	1	g	99996-0	01E		
	нк		А		U	IS
	Nominal V64:15	0	Bid	RT	Ask	RT
	-2.450 (-3 Updated:09/03/ Information 2	368%) 2020-13 nd by	64.10 22 ETNat	00	64.1 c	Icks 624
	Stock Cod	2	0001		скн но	LDINGS
	Order Price HKD		64.100			+
	Order Oty 500 / Lot	E	1,000			+
	Order Type	E	nhanced Lin	nit		V
	Condition	s	iend When N	ominal	Fall At/Be	low ∨
		1 6	4.500			

- 1. Select HK on the Market (HK/A/US) tabs at the top
- Input Stock Code, Order Price, QTY and Order Type (You can press Nominal, Bid/Ask prices to copy stock prices directly to Order Sheet)
- 3. Select **Send When Nominal Falls At/Above** in condition.
- 4. Input Trig. Price (Trigger Price) *
- 5. Press Buy/Sell button



- 6. Press **Confirm** button to confirm order detail
- 7. You will see your Order Ref. No. after an order is successfully sent

- * Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: <u>https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk</u>

Hold Until I Release

Hold Until I Release allows you to hold an order in the trading system. You can release the order to the market anytime by clicking Release Order.

Place Order	Order Book	Portfolio	Cash (
	000006-00	16	
нк		US	
Nominal	Bld	RT Ask	RT
▼64.150			
Updated:09/03/2	020 13:22 ded by ETNet	J 64.1 ci	50 cks:624
Stock 2	00001	CKH HOL	DINGS
Order Price	64.100		+
Order Oty 500 / Lot	- 1,000		+
Order Type	Enhanced Limit	t	V
Condition	Hold Until I Re	lease	V.
		1	

- 1. Select HK on the Market (HK/A/US) tabs at the top
- 2. Input **Stock Code**, **Order Price**, **QTY** and **Order Type** (You can press Nominal, Bid/Ask prices to copy stock prices directly to Order Sheet)
- 3. Select Hold Until I release in condition
- 4. Press Buy/Sell button

Trade Q HSI 25876.94 -142.47 HSCEI:0375.25 -71.18 C Place Order Order Book Portfolio Cash C			
999996-001E			
Not Account Exchange Place order command is sent Order Type Successfully	Trade HSI 25185.9 Place Order	Order Book P	F C Q 36.17 -420.76 O Portfolio Cash O
Buy / Sell : Ref. No.:375 6		999996-002E	115
Order Price : Order Order Oty : Order Oty : Confirm Stoc Total Amt : HKD 28,9 4,00 Orde Confirm to make or ar? HKD Orde	Buy 00001 CKH HOLDING Order Price Ref. No. Condition	S HKD 64.150 714071	Pending: > 0/1,000
Ord 5 Confirm Cancel	Price Limit	09/03/2020 13:55:43	Release 7
Buy Sell			

- 5. Press **Confirm** button to confirm order detail
- 6. You will see your Order Ref. No. after an order is successfully sent
- 7. Choose Order Book and press Release button to release the order to market.

- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

Stop Limit

Stop limit is an order to reduce loss or protect profit. When the trigger price is reached, the order will be sent to the market as a **Limit Order**.

For example, the nominal price is now at \$70.7. When the nominal price drops to \$70.5 and reaches the trigger price, the trading system will automatically send an Limit Order at \$70.5 to the market and sell no lower than \$70. If the order cannot be fully executed, the outstanding quantity will be put in the price queue at \$70:

Trade		Ċ	2	
SHCI 3227.22 -	-13.51	MT 353.78	в 🕕	
Place Order C	order Book	Portfolio	Cash O	
		Chart	Genie	
нк	A	US		
Nominal	Bid	RT Ask	RT	
Updated: Provided by ETNe	t	Clic	ks: 259	
Stocl 2				
Order Price	—		+	
Order Qty	-		+	
Order Type	Price Li	mit	~	
Condition	Stop Lir	nit	~	3
- 0	Trigger	Price 4	imit	
Valid Until	3	81/08/2022	~	5
6 BUY		SELL		
(\$)	Quote Ne	E C ews Market	Menu	

- 1. Select **HK** on the Market (HK/A/US) tabs at the top
- 2. Input **Stock Code**, **Order Price**, **QTY** and **Order Type** (You can press Nominal, Bid/Ask prices to copy stock prices directly to Order Sheet)
- 3. Select Stop Limit in condition
- 4. Input Trig. Price (Trigger Price) *
- 5. Input Expiry Date if needed
- 6. Press Buy/Sell button



- 7. Press Confirm button to confirm order detail
- 8. You will see your Order Ref. No. after an order is successfully sent

- The Trigger Price of a Buy Stop Limit Order must be equal or lower than Order Price; the Order Price and Trigger Price must be higher than Nominal Price.
- The Trigger Price of a Sell Stop Limit Order must be equal or higher than Order Price; the Order Price and Trigger Price must be lower than Nominal Price.
- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- * Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc lang=en-hk

OCO (One Cancels the Other)

OCO order includes Limit Order and Stop Loss Order at the same time. OCO order can help you to achieve your profit target, at the same time, reduce the risk of loss due to opposite market trend.

If the limit order of OCO order is executed, the stop loss order will be cancelled. Conversely, if the stop loss order is executed, the limit order will be cancelled.

For example, the nominal price is now at \$64.2. An OCO is placed and target to sell at \$64.15 to take profit. However, the market goes oppositely, when nominal price drops to \$63.15, the trigger price \$63.15 will be triggered and the Stop Loss Order will be sent to the market and sell no lower than \$62.15. The Limit Order at \$64.15 will be automatically cancelled once the Stop Loss Order is triggered:



1. Select HK on the Market (HK/A/US) tabs at the top

Trade		Ċ	Q	Tra	ide			Q
ISI 25223.88	-922.79 HSCEI	10049.80 -40	17.13 🖸	HSI 2		12.47 HSC	en0375/26	71.18 0
Place Order	Order Book	Portfolio	Cash O	Place	Order Or			Cash O
	999996-00	2E				999996-0	01E	
нк		U	S		Ordor Dr	oviow		
Nominal V64.200 -2/400 (-3)	Bid 64.15	DL Ask	DC 200	Nor V2	Account Exchange Order Type	Place	order com	mand is sent
*Lest updated: 09 Information provid Stock Code	/03/2020 13:40 ed by ETNet 00001	15-mil	n delayed	Inford	Buy / Sell Stock Code Order Price Order Qtv		Ref. No.:	375
Order Price HKD	- 64.150			Stock	Total Amt	: HKU 26,9		ENT
Order Oty 500 / Lot	- 1,000		+	HKD Orde	Confirm to r	nake or ar ?		
Order Type	Enhanced Limit	t	V	100 / 1				
Condition	000		V 3	Ord	6 Con	firm	Cancel	
48	63.150	62.150	(4b)	l				
5	Buy	Sell			Buy	Ý	Sell	

- Input Stock Code, Order Price, QTY and Order Type (You can press Nominal, Bid/Ask prices to copy stock prices directly to Order Sheet)
- 3. Select OCO in condition
- 4. Input Trig. Price (Trigger Price) (4a)* and Stop Price (4b)
- 5. Press Buy/Sell button
- 6. Press Confirm button to confirm order detail
- 7. You will see your Order Ref. No. after an order is successfully sent

- Samples are for references only, real trades might not be able to execute due to different market conditions or technical problems. Please study the trading rules on different order types.
- Trigger Price cannot be changed when it has already set in ahead. Client should CANCEL and RESET the order if one wishes to change the Trigger Price.
- Conditional orders involve trading risk; trader should have a good understanding of the trading principle before using the functions.
- Please refer to HKEX website for the definition of nominal price: Services > Trading > Securities > Overview > TRADING MECHANISM > TRADE PRICES > Nominal Price or visit: https://www.hkex.com.hk/services/trading/securities/overview/trading-mechanism?sc_lang=en-hk

Assistance and Feedback

Please contact the Client Service Hotline (852) 2136 1818 or email to clientservices@uobkayhian.com.hk for any assistance and feedback.