



ALL NEW! Improved Features!
U.S. Trading - **UTRADE Web**

User Reference Guide to
U.S.Trading (Conditional Orders) - **UTRADE Web**



US
STOCKS



ONLINE TRADING
MADE EASY



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* Note

1. Amendment is not allowed for conditionals orders.

For conditional orders amendment, please cancel and resubmit the order(s).

2. The market order was not successfully executed even the order price quoted was within the day high/low range.

It is because

A) In the fast-moving market, the time input the order could be already the time when the day high/low was reached. And the price was never hit again.

B) The price feed shown on both trading system/information vendors are showing prices for all exchanges. And orders placed on UTRADE are only directed to the three major exchanges ie. NYSE, NASDAQ and AMEX. In some rare circumstances, if Day High/Low are executed outside these three major exchanges, it could happen that the order price never reached Day High/Low quoted, and hence the Market Order was not successfully executed.



Stop Limit Order

Up Trigger Limit Order

Up Trigger Limit Order is an order when the stock price hits the trigger price, a buy order with a limit price will be placed in the market.

Example:

Mr. Chan wants 100 shares of AAPL, which is currently trading at \$95 per share. He would like to buy AAPL as soon as the price reaches \$110 (Trigger Price), but he does not want to pay more than \$120 per share. His order will be activated when the ask price reaches or goes above \$110. At this point the order becomes a limit order to buy 100 shares of AAPL for \$120 or better.

Place Order:

The screenshot displays the UTRADE Web interface. A 'Buy' order form is open, showing the following details:

- Type: PL-Limit
- Market: US
- Stock Code: AAPL
- Price: 120
- Qty: 100
- Total: \$12,000.00
- Send Condition: Stop Limit
- Trigger Price: 110
- Stop Price: (empty)

The background shows a stock quote table with columns for Symbol, Price, and Volume. The table lists various stocks including ZAZA, CSCO, INTC, BONA, V, AFFY, VODOF, AN, IBM, AAPL, KADDF, ZA, C, ACET, ACFC, ACG, O, and FB.

1. Select **US Market** and click **Buy** button.
2. Select type **PL-Limit**.
3. Input **Stock Code, Price** and **Quantity**.
4. Select **Stop Limit** at **Send Condition** and input **Trigger Price**.
5. Click **OK** button to place order.



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U.S. Trading - UTRADE Web

Up Trigger Limit Order

Internet Trading System - Internet Explorer

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US - US Market

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HK Stock Quote US Stock Quote

DJIA 16880 -31.75 (-0.19%) S&P 500 1970.07 +0.12 (+0.01%) New York : 03:28:08

| Symbol | Price | Chg | Chg % | Bid | Ask | Bid Sz | Ask Sz | Volume | Low | High | Exch. |
|--------|--------|-------|---------|--------|--------|--------|--------|---------|--------|--------|-------|
| ZAZA | 0.79 | -0.00 | -0.468% | 0.30 | 0.81 | 500 | 5K | 260.97K | 0.73 | 0.81 | NASDA |
| CSCO | 25.63 | -0.08 | -0.311% | 25.56 | 25.61 | 900 | 1.3K | 26.12M | 25.47 | 25.84 | NASDA |
| INTC | 34.35 | +0.16 | +0.468% | 34.30 | 34.44 | 6.1K | 1.2K | 32.79M | 34.04 | 34.57 | NASDA |
| BONA | 6.88 | +0.06 | +0.880% | 5.00 | 7.40 | 700 | 700 | 130.89K | 6.80 | 6.92 | NASDA |
| V | 214.06 | +0.56 | +0.262% | 214.06 | 220.55 | 800 | 100 | 2.61M | 213.45 | 214.79 | NYSE |
| AFFY | 0.10 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 387.51K | 0.10 | 0.10 | NASDA |
| VODOF | 0.09 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| AN | 54.61 | -0.13 | -0.237% | 51.95 | 57.98 | 100 | 200 | 563.56K | 54.10 | 54.86 | NYSE |
| IBM | 194.00 | -0.57 | -0.293% | 193.50 | 195.95 | 100 | 200 | 3.85M | 192.90 | 195.99 | NYSE |
| AAPL | 98.15 | -0.23 | -0.234% | 98.12 | 98.17 | 100 | 400 | 33.01M | 97.67 | 98.70 | NASDA |
| KARDF | 0.00 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ZA | 1.48 | +0.00 | +0.203% | 1.39 | 1.61 | 2K | 4.4K | 64.28K | 1.46 | 1.50 | NYSE |
| C | 50.00 | +0.58 | +1.174% | 50.02 | 50.34 | 100 | 300 | 15.33M | 49.63 | 50.27 | NYSE |
| ACET | 17.33 | +0.12 | +0.697% | 16.94 | 19.00 | 500 | 800 | 89.46K | 17.18 | 17.44 | NASDA |
| ACFC | 4.07 | +0.05 | +1.244% | 2.29 | 4.08 | 1000 | 900 | 2.24K | 3.96 | 4.08 | NASDA |
| ACG | 7.49 | -0.08 | -1.057% | 7.32 | 7.60 | 300 | 300 | 390.72K | 7.49 | 7.55 | NYSE |
| O | 43.44 | -0.95 | -2.134% | 43.17 | 44.50 | 100 | 500 | 2.57M | 43.14 | 44.63 | NYSE |
| FB | 74.68 | +0.97 | +1.312% | 74.60 | 74.62 | 1.5K | 300 | 36.85M | 74.13 | 75.19 | NASDA |

Portfolio Today Orders O/S Orders Trades Messages/Alerts

Welcome Client (100006-001E Testing 6)

| | Status | CR | Order | B/S | Market | Stock Code | Type | Price | Qty | O/S Qty | Exer. Qty | Input Time | Condition | Expiry Date |
|---|--------------|-------|--------|------|--------|------------|------|-------|-----|---------|-----------|------------|---------------------|-------------|
| 1 | Amend/Cancel | G(GW) | 403751 | Buy | US | AAPL | PL | USD | 120 | 100 | 100 | 0 | 2014-07-31 15:26:51 | SL >=110 |
| 2 | Amend/Cancel | FEX | 403750 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 100 | 2014-07-31 14:58:37 | |
| 3 | Amend/Cancel | CAN | 403749 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 0 | 2014-07-31 14:58:48 | |
| 4 | Amend/Cancel | FEX | 403748 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 14:54:22 | |
| 5 | Amend/Cancel | CAN | 403744 | Buy | US | AAPL | M | USD | 0 | 10 | 0 | 0 | 2014-07-30 16:19:56 | SL >=15 |
| 6 | Amend/Cancel | CAN | 403743 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:19:30 | |
| 7 | Amend/Cancel | CAN | 403742 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:18:11 | |
| 8 | Amend/Cancel | CAN | 403741 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:17:02 | SL >=15 |
| 9 | Amend/Cancel | CAN | 403740 | Buy | US | AAPL | M | USD | 0 | 10 | 0 | 0 | 2014-07-30 16:16:45 | |

At Today Orders, PL showed at Type means it is a Limit Order.
SL>=110 showed at Condition means the Trigger Price is \$110.



Stop Limit Order

Down Trigger Limit Order

Down Trigger Limit Order is an order when the stock price hits the trigger price, a sell order with a limit price will be placed in the market.

Example :

Mr. Chan owns 100 shares of AAPL, which is currently trading at \$98 per share. He would like to sell AAPL as soon as the price drops to \$95 (Trigger Price), but he does not want to sell for less than \$90. His order will be activated when the bid price reaches or goes below \$95. At this point the order becomes a limit order to sell 100 shares of AAPL for \$90 or better.

Place Order :

The screenshot shows the UTRADE Web trading interface. A 'Sell' order form is open, displaying the following details:

- Type:** PL-Limit
- Market:** US
- Stock Code:** AAPL
- Price:** 90
- Qty:** 100
- Send Condition:** Stop Limit
- Trigger Price:** 95
- Stop Price:** (empty)

The background shows a list of stocks with their prices and a table of orders.

1. Select **US Market** and click **Sell** button.
2. Select type **PL-Limit**.
3. Input **Stock Code, Price** and **Quantity**.
4. Select **Stop Limit** at **Send Condition** and input **Trigger Price**.
5. Click **OK** button to place order.



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U.S. Trading - UTRADE Web

Down Trigger Limit Order

Internet Trading System - Internet Explorer

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US - US Market

Buy Sell

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IPO
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Demo

Logout

HK Stock Quote US Stock Quote

DJIA 16880 -31.75 (-0.19%) S&P 500 1970.07 +0.12 (+0.01%) New York : 03:32:40

Stock ZAZA Delete

| Symbol | Price | Chg | Chg % | Bid | Ask | Bid Sz | Ask Sz | Volume | Low | High | Exch. |
|--------|--------|-------|---------|--------|--------|--------|--------|---------|--------|--------|-------|
| ZAZA | 0.79 | -0.00 | -0.468% | 0.30 | 0.81 | 500 | 5K | 260.97K | 0.73 | 0.81 | NASDA |
| CSCO | 25.63 | -0.08 | -0.311% | 25.56 | 25.61 | 900 | 1.3K | 26.12M | 25.47 | 25.84 | NASDA |
| INTC | 34.35 | +0.16 | +0.468% | 34.30 | 34.44 | 6.1K | 1.2K | 32.79M | 34.04 | 34.57 | NASDA |
| BONA | 6.88 | +0.06 | +0.880% | 5.00 | 7.40 | 700 | 700 | 130.89K | 6.80 | 6.92 | NASDA |
| V | 214.06 | +0.56 | +0.262% | 214.06 | 220.55 | 800 | 100 | 2.61M | 213.45 | 214.79 | NYSE |
| AFYY | 6.10 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 387.51K | 0.10 | 0.10 | NASDA |
| VODOF | 0.09 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| AN | 54.61 | -0.13 | -0.237% | 51.95 | 57.98 | 100 | 200 | 563.56K | 54.10 | 54.88 | NYSE |
| IBM | 194.00 | -0.57 | -0.293% | 193.50 | 195.95 | 100 | 200 | 3.95M | 192.90 | 195.99 | NYSE |
| AAPL | 98.15 | -0.23 | -0.234% | 98.12 | 98.17 | 100 | 400 | 33.01M | 97.67 | 98.70 | NASDA |
| KABDF | 0.00 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ZA | 1.48 | +0.00 | +0.203% | 1.39 | 1.61 | 2K | 4.4K | 64.28K | 1.46 | 1.50 | NYSE |
| C | 50.00 | +0.58 | +1.174% | 50.02 | 50.34 | 100 | 300 | 15.33M | 49.63 | 50.27 | NYSE |
| ACET | 17.33 | +0.12 | +0.697% | 16.94 | 19.00 | 500 | 800 | 89.46K | 17.18 | 17.44 | NASDA |
| ACFC | 4.07 | +0.05 | +1.244% | 2.29 | 4.08 | 1000 | 900 | 2.24K | 3.96 | 4.08 | NASDA |
| ACG | 7.49 | -0.08 | -1.057% | 7.32 | 7.60 | 300 | 300 | 390.72K | 7.49 | 7.55 | NYSE |
| O | 43.44 | -0.95 | -2.134% | 43.17 | 44.50 | 100 | 500 | 2.57M | 43.14 | 44.63 | NYSE |
| FB | 74.68 | +0.97 | +1.312% | 74.60 | 74.62 | 1.5K | 300 | 36.85M | 74.13 | 75.19 | NASDA |

Tool Chart Price Alert Stock Lookup Look And Feel IBM AAPL Flash Alert ☒ Language Eng

Portfolio Today Orders OIS Orders Trades Messages/Alerts

Welcome Client (100006-001E Testing 6)

| | Status | CR | Order No | B/S | Market | Stock Code | Type | Price | Qty | O/S Qty | Exec Qty | Input Time | Condition | Expiry Date |
|---|--------------|-------|----------|------|--------|------------|------|-------|-----|---------|----------|------------|---------------------|-------------|
| 1 | Amend/Cancel | Q(GW) | 403752 | Sell | US | AAPL | PL | USD | 90 | 100 | 100 | 0 | 2014-07-31 15:32:10 | SL <=95 |
| 2 | Amend/Cancel | FEX | 403751 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 15:26:51 | SL >=110 |
| 3 | Amend/Cancel | FEX | 403750 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 100 | 2014-07-31 14:59:37 | |
| 4 | Amend/Cancel | CAN | 403749 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 0 | 2014-07-31 14:58:48 | |
| 5 | Amend/Cancel | FEX | 403748 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 14:54:22 | |
| 6 | Amend/Cancel | CAN | 403744 | Buy | US | AAPL | M | USD | 0 | 10 | 0 | 0 | 2014-07-30 16:19:56 | SL >=15 |
| 7 | Amend/Cancel | CAN | 403743 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:19:30 | |
| 8 | Amend/Cancel | CAN | 403742 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:18:11 | |
| 9 | Amend/Cancel | CAN | 403741 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:17:02 | SL >=15 |

At **Today Orders**, **PL** showed at **Type** means it is a Limit Order.
SL<=95 showed at **Condition** means the Trigger Price is \$95.



Market Order

Market Order is an order to buy or sell a stock at the market price. Clients do not need to set a limit price and the order will be executed at the best market price at time of execution.

Example (Buy):

Mr. Chan wants to buy 100 shares of stock AAPL. On the trading page, he places the buy market order by clicking the buy button and entering 100 shares in the quantity field. Since this is a market order, he leaves the price blank, and selects market order. After he confirms his order, it is routed and filled at the best price available.

Place Order:

The screenshot displays the UTRADE Web trading interface. A 'Buy' order form is open, showing the following details:

- Type:** M-Market
- Market:** US
- Stock Code:** AAPL
- Price:** (blank)
- Qty:** 100
- Send Condition:** Send Now
- Trigger Price:** (blank)
- Stop Price:** (blank)

The background shows a list of stocks with their prices and a table of orders.

| Symbol | Price |
|--------|--------|
| ZAZA | 0.79 |
| CSCO | 25.63 |
| INTC | 34.35 |
| BONA | 6.88 |
| V | 214.06 |
| AFFY | 0.10 |
| VODOF | 0.09 |
| AN | 54.61 |
| IBM | 194.00 |
| AAPL | 98.15 |
| KABDF | 0.00 |
| ZA | 1.48 |
| C | 50.00 |
| ACET | 17.33 |
| ACFC | 4.07 |
| ACG | 7.49 |
| O | 43.44 |
| FB | 74.68 |

| Qty | O/S Qty | Exec. Qty | Input Time | Condition | Expiry Date |
|-----|---------|-----------|---------------------|-----------|-------------|
| 100 | 0 | 100 | 2014-07-31 15:32:10 | SL <=95 | |
| 100 | 0 | 100 | 2014-07-31 15:26:51 | SL >=110 | |
| 100 | 0 | 100 | 2014-07-31 14:59:37 | | |
| 100 | 0 | 0 | 2014-07-31 14:58:48 | | |
| 100 | 0 | 100 | 2014-07-31 14:54:22 | | |
| 10 | 0 | 0 | 2014-07-30 16:19:56 | SL >=15 | |
| 3 | 0 | 0 | 2014-07-30 16:19:30 | | |
| 3 | 0 | 0 | 2014-07-30 16:18:11 | | |
| 3 | 0 | 0 | 2014-07-30 16:17:03 | SL >=15 | |

1. Select **US Market** and click **Buy** button.
2. Select type **M-Market**.
3. Input **Stock Code** and **Quantity**.
4. Since this is Market Order, **Price** is not required.
5. Select **Send Now** at **Send Condition**.
6. Click **OK** button to place order.



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Internet Trading System - Internet Explorer

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US - US Market

Buy Sell

Transaction
Profile
IPO
Research
Demo

* Logout

HK Stock Quote US Stock Quote

DJIA 16880 -31.75 (-0.19%) S&P 500 1970.07 +0.12 (+0.01%) New York : 03:41:47

Stock ZAZA Delete

| Symbol | Price | Chg | Chg % | Bid | Ask | Bid Sz | Ask Sz | Volume | Low | High | Exch. |
|--------|--------|-------|---------|--------|--------|--------|--------|---------|--------|--------|-------|
| ZAZA | 0.79 | -0.00 | -0.468% | 0.30 | 0.81 | 500 | 5K | 260.97K | 0.73 | 0.81 | NASDA |
| CSCO | 25.63 | -0.08 | -0.311% | 25.56 | 25.61 | 900 | 1.3K | 26.12M | 25.47 | 25.84 | NASDA |
| INTC | 34.35 | +0.16 | +0.468% | 34.30 | 34.44 | 6.1K | 1.2K | 32.79M | 34.04 | 34.57 | NASDA |
| BONA | 6.88 | +0.06 | +0.880% | 5.00 | 7.40 | 700 | 700 | 130.89K | 6.80 | 6.92 | NASDA |
| V | 214.06 | +0.56 | +0.262% | 214.06 | 220.55 | 800 | 100 | 2.61M | 213.45 | 214.79 | NYSE |
| AFFY | 0.10 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 387.51K | 0.10 | 0.10 | NASDA |
| VODOF | 0.09 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| AN | 54.61 | -0.13 | -0.237% | 51.95 | 57.98 | 100 | 200 | 563.56K | 54.10 | 54.86 | NYSE |
| IBM | 194.00 | -0.57 | -0.293% | 193.50 | 195.95 | 100 | 200 | 3.95M | 192.90 | 195.99 | NYSE |
| AAPL | 98.15 | -0.23 | -0.234% | 98.12 | 98.17 | 100 | 400 | 33.01M | 97.67 | 98.70 | NASDA |
| KABDF | 0.00 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ZA | 1.48 | +0.00 | +0.203% | 1.39 | 1.61 | 2K | 4.4K | 64.28K | 1.46 | 1.50 | NYSE |
| C | 50.00 | +0.58 | +1.174% | 50.02 | 50.34 | 100 | 300 | 15.33M | 49.63 | 50.27 | NYSE |
| ACFIE | 17.33 | +0.12 | +0.697% | 16.94 | 19.00 | 500 | 800 | 89.46K | 17.18 | 17.44 | NASDA |
| ACFC | 4.07 | +0.05 | +1.244% | 2.29 | 4.08 | 1000 | 900 | 2.24K | 3.96 | 4.08 | NASDA |
| ACG | 7.49 | -0.08 | -1.057% | 7.32 | 7.60 | 300 | 300 | 390.72K | 7.49 | 7.55 | NYSE |
| O | 43.44 | -0.95 | -2.134% | 43.17 | 44.50 | 100 | 500 | 2.57M | 43.14 | 44.63 | NYSE |
| FB | 74.68 | +0.97 | +1.312% | 74.60 | 74.62 | 1.5K | 300 | 36.85M | 74.13 | 75.19 | NASDA |

Tool Chart Price Alert Stock Lookup Look And Feel Flash Alert ☒ Language Eng

Portfolio Today Orders O/S Orders Trades Messages/Alerts

Welcome! Client (100006-001E Testing E

| | Status | CR | Order No | B/S | Market | Stock Code | Type | Price | Qty | O/S Qty | Exec Qty | Input Time | Condition | Expiry Date |
|---|--------------|--------|----------|------|--------|------------|------|-------|-----|---------|----------|------------|---------------------|-------------|
| 1 | Amend/Cancel | Q(GW) | 403753 | Buy | US | AAPL | M | USD | 0 | 100 | 100 | 0 | 2014-07-31 15:41:19 | |
| 2 | Amend/Cancel | FEX | 403752 | Sell | US | AAPL | PL | USD | 90 | 100 | 0 | 100 | 2014-07-31 15:32:10 | SL <=95 |
| 3 | Amend/Cancel | FEX | 403751 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 15:26:51 | SL >=110 |
| 4 | Amend/Cancel | FEX | 403750 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 100 | 2014-07-31 14:59:37 | |
| 5 | Amend/Cancel | CAN(G) | 403749 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 0 | 2014-07-31 14:58:48 | |
| 6 | Amend/Cancel | FEX | 403748 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 14:54:22 | |
| 7 | Amend/Cancel | CAN | 403744 | Buy | US | AAPL | M | USD | 0 | 10 | 0 | 0 | 2014-07-30 16:19:56 | SL >=15 |
| 8 | Amend/Cancel | CAN | 403743 | Buy | US | AAPL | M | USD | 0 | 3 | 0 | 0 | 2014-07-30 16:19:30 | |
| 9 | Amend/Cancel | CAN | 403742 | Buy | US | AAPL | M | USD | 0 | 2 | 0 | 0 | 2014-07-30 16:19:14 | |

At Today Orders, M showed at Type means it is a Market Order.

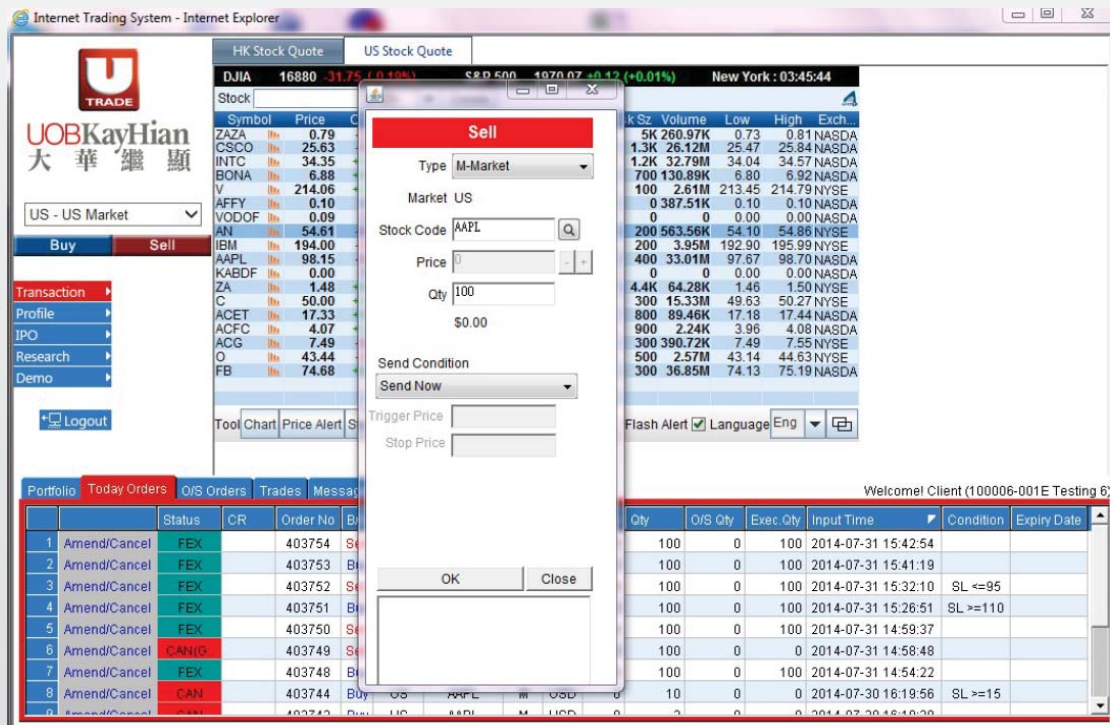


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Example (Sell):

Mr. Chan wants to sell 100 shares of stock AAPL. On the trading page, he places the sell market order by clicking the sell button and entering 100 shares in the quantity field. Since this is a market order, he leaves the price blank, and selects market order. After he confirms his order, it is routed and filled at the best price available.

Place Order:



1. Select **US Market** and click **Sell** button.
2. Select type **M-Market**.
3. Input **Stock Code** and **Quantity**.
4. Since this is Market Order, **Price** is not required.
5. Select **Send Now** at **Send Condition**.
6. Click **OK** button to place order.



ALL NEW! Improved Features!

U.S. Trading - UTRADE Web

Internet Trading System - Internet Explorer

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US - US Market

Buy Sell

Transaction
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IPO
Research
Demo

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HK Stock Quote

US Stock Quote

DJIA 16880 -31.75 (-0.19%) S&P 500 1970.07 +0.12 (+0.01%) New York : 03:43:23

Stock ZAZA Delete

| Symbol | Price | Chg | Chg % | Bid | Ask | Bid Sz | Ask Sz | Volume | Low | High | Exch. |
|--------|--------|-------|---------|--------|--------|--------|--------|---------|--------|--------|-------|
| ZAZA | 0.79 | -0.00 | -0.468% | 0.30 | 0.81 | 500 | 5K | 260.97K | 0.73 | 0.81 | NASDA |
| CSCO | 25.63 | -0.08 | -0.311% | 25.56 | 25.61 | 900 | 1.3K | 26.12M | 25.47 | 25.84 | NASDA |
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| BONA | 6.88 | +0.06 | +0.880% | 5.00 | 7.40 | 700 | 700 | 130.89K | 6.80 | 6.92 | NASDA |
| V | 214.06 | +0.56 | +0.262% | 214.06 | 220.55 | 800 | 100 | 2.61M | 213.45 | 214.79 | NYSE |
| AFFY | 0.10 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 387.51K | 0.10 | 0.10 | NASDA |
| VODOF | 0.09 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| AN | 54.61 | -0.13 | -0.237% | 51.95 | 57.98 | 100 | 200 | 563.56K | 54.10 | 54.86 | NYSE |
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| AAPL | 98.15 | -0.23 | -0.234% | 98.12 | 98.17 | 100 | 400 | 33.01M | 97.67 | 98.70 | NASDA |
| KABDF | 0.00 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ZA | 1.48 | +0.00 | +0.203% | 1.39 | 1.61 | 2K | 4.4K | 64.28K | 1.46 | 1.50 | NYSE |
| C | 50.00 | +0.58 | +1.174% | 50.02 | 50.34 | 100 | 300 | 15.33M | 49.63 | 50.27 | NYSE |
| ACET | 17.33 | +0.12 | +0.697% | 16.94 | 19.00 | 500 | 800 | 89.46K | 17.18 | 17.44 | NASDA |
| ACFC | 4.07 | +0.05 | +1.244% | 2.29 | 4.08 | 1000 | 900 | 2.24K | 3.96 | 4.08 | NASDA |
| ACG | 7.49 | -0.08 | -1.057% | 7.32 | 7.60 | 300 | 300 | 390.72K | 7.49 | 7.55 | NYSE |
| O | 43.44 | -0.95 | -2.134% | 43.17 | 44.50 | 100 | 500 | 2.57M | 43.14 | 44.63 | NYSE |
| FB | 74.68 | +0.97 | +1.312% | 74.60 | 74.62 | 1.5K | 300 | 36.85M | 74.13 | 75.19 | NASDA |

Tool Chart Price Alert Stock Lookup Look And Feel Flash Alert ☒ Language Eng

Portfolio Today Orders O/S Orders Trades Messages/Alerts

Welcome! Client (100006-001E Testing 6

At Today Orders, M showed at Type means it is a Market Order.



Stop Order

Stop Lose Order/Stop Gain Order is an order to reduce loss or protect profit. When the trigger price is reached, a sell order with a market price will be placed in the market.

Example:

Stop Lose Order

Mr. Chan bought 100 shares of stock AAPL at \$101, currently trading at \$100 per share. He would like to sell the stock at market price once it drops to \$95 in order to reduce loss. On the trading page, he places the sell market order by clicking the sell button, entering 100 shares in the quantity field and trigger price at \$95. Since this is a market order, he leaves the price blank, and selects market order. After he confirms his order, once the price reaches \$95, stock will be sold at the available price.

Place Order:

The screenshot shows the UTRADE Web trading interface. A 'Sell' order form is open, with the following details:

- Type: M-Market
- Market: US
- Stock Code: AAPL
- Price: \$0.00
- Qty: 100
- Send Condition: Stop Limit
- Trigger Price: 95
- Stop Price: (blank)

The interface also displays a list of orders and a table of market data.

| Qty | Q/S Qty | Exec. Qty | Input Time | Condition | Expiry Date |
|-----|---------|-----------|---------------------|-----------|-------------|
| 100 | 0 | 100 | 2014-07-31 15:47:49 | SL >=110 | |
| 100 | 0 | 100 | 2014-07-31 15:42:54 | | |
| 100 | 0 | 100 | 2014-07-31 15:41:19 | | |
| 100 | 0 | 100 | 2014-07-31 15:32:10 | SL <=95 | |
| 100 | 0 | 100 | 2014-07-31 15:26:51 | SL >=110 | |
| 100 | 0 | 100 | 2014-07-31 14:59:37 | | |
| 100 | 0 | 0 | 2014-07-31 14:58:48 | | |
| 100 | 0 | 100 | 2014-07-31 14:54:22 | | |
| 0 | 0 | 0 | 2014-07-31 14:10:56 | SL >=110 | |

1. Select **US Market** and click **Sell** button.
2. Select type **M-Market**.
3. Input **Stock Code** and **Quantity**.
4. Since this is a Market Order, **Price** is not required.
5. Select **Stop Limit** at **Send Condition** and input **Trigger Price**.
6. Click **OK** button to place order.



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U.S. Trading - UTRADE Web

Internet Trading System - Internet Explorer

UOBKayHian
大華繼顯

US - US Market

Buy Sell

Transaction
Profile
IPO
Research
Demo

Logout

HK Stock Quote US Stock Quote

DJIA 16880 -31.75 (-0.19%) S&P 500 1970.07 +0.12 (+0.01%) New York : 03:51:37

Stock ZAZA Delete

| Symbol | Price | Chg | Chg % | Bid | Ask | Bid Sz | Ask Sz | Volume | Low | High | Exch |
|--------|--------|-------|---------|--------|--------|--------|--------|---------|--------|--------|-------|
| ZAZA | 0.79 | -0.00 | -0.468% | 0.30 | 0.81 | 500 | 5K | 260.97K | 0.73 | 0.81 | NASDA |
| CSCO | 25.63 | -0.08 | -0.311% | 25.56 | 25.61 | 900 | 1.3K | 28.12M | 25.47 | 25.84 | NASDA |
| INTC | 34.35 | +0.16 | +0.468% | 34.30 | 34.44 | 6.1K | 1.2K | 32.79M | 34.04 | 34.57 | NASDA |
| BONA | 6.88 | +0.06 | +0.880% | 5.00 | 7.40 | 700 | 700 | 130.89K | 6.80 | 6.92 | NASDA |
| V | 214.06 | +0.56 | +0.262% | 214.06 | 220.55 | 800 | 100 | 2.61M | 213.45 | 214.79 | NYSE |
| AFY | 0.10 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 387.51K | 0.10 | 0.10 | NASDA |
| VODOF | 0.09 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| AN | 54.61 | -0.13 | -0.237% | 51.95 | 57.98 | 100 | 200 | 563.56K | 54.10 | 54.88 | NYSE |
| IBM | 194.00 | -0.57 | -0.293% | 193.50 | 195.95 | 100 | 200 | 3.95M | 192.90 | 195.99 | NYSE |
| AAPL | 98.15 | -0.23 | -0.234% | 98.12 | 98.17 | 100 | 400 | 33.01M | 97.67 | 98.70 | NASDA |
| KABDF | 0.00 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ZA | 1.48 | +0.00 | +0.203% | 1.39 | 1.61 | 2K | 4.4K | 64.28K | 1.46 | 1.50 | NYSE |
| C | 50.00 | +0.58 | +1.174% | 50.02 | 50.34 | 100 | 300 | 15.33M | 49.63 | 50.27 | NYSE |
| ACET | 17.33 | +0.12 | +0.697% | 16.94 | 19.00 | 500 | 800 | 89.46K | 17.18 | 17.44 | NASDA |
| ACFC | 4.07 | +0.05 | +1.244% | 2.29 | 4.08 | 1000 | 900 | 2.24K | 3.96 | 4.08 | NASDA |
| ACG | 7.49 | -0.08 | -1.057% | 7.32 | 7.60 | 300 | 300 | 396.72K | 7.49 | 7.55 | NYSE |
| O | 43.44 | -0.95 | -2.134% | 43.17 | 44.50 | 100 | 500 | 2.57M | 43.14 | 44.63 | NYSE |
| FB | 74.68 | +0.97 | +1.312% | 74.60 | 74.62 | 1.5K | 300 | 36.85M | 74.13 | 75.19 | NASDA |

Tool Chart Price Alert Stock Lookup Look And Feel IBM AAPL Flash Alert ☒ Language Eng

Welcome! Client (100006-001E Testing 6)

| Portfolio Today Orders O/S Orders Trades Messages/Alerts | | | | | | | | | | | | | | | |
|----------------------------------------------------------|--------------|-------|----------|------|--------|------------|------|-------|-----|---------|----------|------------|---------------------|-------------|--|
| | Status | CR | Order No | B/S | Market | Stock Code | Type | Price | Qty | O/S Qty | Exec Qty | Input Time | Condition | Expiry Date | |
| 1 | Amend/Cancel | Q(GV) | 403756 | Sell | US | AAPL | M | USD | 0 | 100 | 100 | 0 | 2014-07-31 15:51:11 | SL <=95 | |
| 2 | Amend/Cancel | FEX | 403755 | Buy | US | AAPL | M | USD | 0 | 100 | 0 | 100 | 2014-07-31 15:47:49 | SL >=110 | |
| 3 | Amend/Cancel | FEX | 403754 | Sell | US | AAPL | M | USD | 0 | 100 | 0 | 100 | 2014-07-31 15:42:54 | | |
| 4 | Amend/Cancel | FEX | 403753 | Buy | US | AAPL | M | USD | 0 | 100 | 0 | 100 | 2014-07-31 15:41:19 | | |
| 5 | Amend/Cancel | FEX | 403752 | Sell | US | AAPL | PL | USD | 90 | 100 | 0 | 100 | 2014-07-31 15:32:10 | SL <=95 | |
| 6 | Amend/Cancel | FEX | 403751 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 15:26:51 | SL >=110 | |
| 7 | Amend/Cancel | FEX | 403750 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 100 | 2014-07-31 14:59:37 | | |
| 8 | Amend/Cancel | CANLO | 403749 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 0 | 2014-07-31 14:58:48 | | |

At **Today Orders**, **M** showed at **Type** means it is a Market Order.
SL<=95 showed at **Condition** means the Trigger Price is \$95.



Stop Order

Example:
Stop Gain Order

Mr. Chan bought 100 shares of stock AAPL at \$90, currently trading at \$98 per share. He would like to sell the stock at market price once it drops to \$95 in order to protect profit. On the trading page, he places the sell market order by clicking the sell button, entering 100 shares in the quantity field and trigger price at \$95. Since this is a market order, he leaves the price blank, and selects market order. After he confirms his order, once the price reaches \$95, stock will be sold at the market price.

Place Order:

The screenshot shows the UTRADE Web trading interface. A 'Sell' order form is open, displaying the following details:

- Stock Code:** AAPL
- Quantity:** 100
- Price:** (Empty)
- Trigger Price:** 95
- Send Condition:** Stop Limit
- Stop Price:** (Empty)

The background shows a list of stocks and a table of orders. The table of orders includes columns for Qty, O/S Qty, Exec. Qty, Input Time, Condition, and Expiry Date.

1. Select **US Market** and click **Sell** button.
2. Select type **M-Market**.
3. Input **Stock Code** and **Quantity**.
4. Since this is a Market Order, **Price** is not required.
5. Select **Stop Limit** at **Send Condition** and input **Trigger Price**.
6. Click **OK** button to place order.



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U.S. Trading - UTRADE Web

Internet Trading System - Internet Explorer

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US - US Market

Buy Sell

Transaction
Profile
IPO
Research
Demo

Logout

HK Stock Quote

US Stock Quote

DJIA 16880 -31.75 (-0.19%) S&P 500 1970.07 +0.12 (+0.01%) New York : 03:51:37

Stock ZAZA Delete

| Symbol | Price | Chg | Chg % | Bid | Ask | Bid Sz | Ask Sz | Volume | Low | High | Exch. |
|--------|--------|-------|---------|--------|--------|--------|--------|---------|--------|--------|-------|
| ZAZA | 0.79 | -0.00 | -0.468% | 0.30 | 0.81 | 500 | 5K | 260.97K | 0.73 | 0.81 | NASDA |
| CSCO | 25.63 | -0.08 | -0.311% | 25.56 | 25.61 | 900 | 1.3K | 26.12M | 25.47 | 25.84 | NASDA |
| INTC | 34.35 | +0.16 | +0.468% | 34.30 | 34.44 | 6.1K | 1.2K | 32.79M | 34.04 | 34.57 | NASDA |
| BONA | 6.88 | +0.06 | +0.880% | 5.00 | 7.40 | 700 | 700 | 130.89K | 6.80 | 6.92 | NASDA |
| V | 214.06 | +0.56 | +0.262% | 214.06 | 220.55 | 800 | 100 | 2.61M | 213.45 | 214.79 | NYSE |
| AFFY | 0.10 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 387.51K | 0.10 | 0.10 | NASDA |
| VODOF | 0.09 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ANL | 54.61 | -0.13 | -0.237% | 51.95 | 57.98 | 100 | 200 | 563.56K | 54.10 | 54.88 | NYSE |
| IBM | 194.00 | -0.57 | -0.293% | 193.50 | 195.95 | 100 | 200 | 3.95M | 192.90 | 195.99 | NYSE |
| AAPL | 98.15 | -0.23 | -0.234% | 98.12 | 98.17 | 100 | 400 | 33.01M | 97.67 | 98.70 | NASDA |
| KABDF | 0.00 | 0.00 | 0.000% | 0.00 | 0.00 | 0 | 0 | 0 | 0.00 | 0.00 | NASDA |
| ZA | 1.48 | +0.00 | +0.203% | 1.39 | 1.61 | 2K | 4.4K | 64.28K | 1.46 | 1.50 | NYSE |
| C | 50.00 | +0.58 | +1.174% | 50.02 | 50.34 | 100 | 300 | 15.33M | 49.63 | 50.27 | NYSE |
| ACET | 17.33 | +0.12 | +0.697% | 16.94 | 19.00 | 500 | 800 | 89.46K | 17.18 | 17.44 | NASDA |
| ACFC | 4.07 | +0.05 | +1.244% | 2.29 | 4.08 | 1000 | 900 | 2.24K | 3.96 | 4.08 | NASDA |
| ACG | 7.49 | -0.08 | -1.057% | 7.32 | 7.60 | 300 | 300 | 390.72K | 7.49 | 7.55 | NYSE |
| O | 43.44 | -0.95 | -2.134% | 43.17 | 44.50 | 100 | 500 | 2.57M | 43.14 | 44.53 | NYSE |
| FB | 74.68 | +0.97 | +1.312% | 74.60 | 74.62 | 1.5K | 300 | 36.85M | 74.13 | 75.19 | NASDA |

Tool Chart Price Alert Stock Lookup Look And Feel IBM AAPL Flash Alert ☒ Language Eng

Portfolio Today Orders O/S Orders Trades Messages/Alerts

Welcome! Client (100006-001E Testing 6)

| | Status | CR | Order No | B/S | Market | Stock Code | Type | Price | Qty | O/S Qty | Exec Qty | Input Time | Condition | Expiry Date |
|---|--------------|-------|----------|------|--------|------------|------|-------|-----|---------|----------|------------|---------------------|-------------|
| 1 | Amend/Cancel | Q(GV) | 403756 | Sell | US | AAPL | M | USD | 0 | 100 | 100 | 0 | 2014-07-31 15:51:11 | SL <=95 |
| 2 | Amend/Cancel | FEX | 403755 | Buy | US | AAPL | M | USD | 0 | 100 | 0 | 100 | 2014-07-31 15:47:49 | SL >=110 |
| 3 | Amend/Cancel | FEX | 403754 | Sell | US | AAPL | M | USD | 0 | 100 | 0 | 100 | 2014-07-31 15:42:54 | |
| 4 | Amend/Cancel | FEX | 403753 | Buy | US | AAPL | M | USD | 0 | 100 | 0 | 100 | 2014-07-31 15:41:19 | |
| 5 | Amend/Cancel | FEX | 403752 | Sell | US | AAPL | PL | USD | 90 | 100 | 0 | 100 | 2014-07-31 15:32:10 | SL <=95 |
| 6 | Amend/Cancel | FEX | 403751 | Buy | US | AAPL | PL | USD | 120 | 100 | 0 | 100 | 2014-07-31 15:26:51 | SL >=110 |
| 7 | Amend/Cancel | FEX | 403750 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 100 | 2014-07-31 14:59:37 | |
| 8 | Amend/Cancel | CANNO | 403749 | Sell | US | AAPL | PL | USD | 130 | 100 | 0 | 0 | 2014-07-31 14:58:48 | |
| 9 | Amend/Cancel | FEX | 403748 | Buy | US | AAPL | PL | USD | 130 | 100 | 0 | 0 | 2014-07-31 14:58:48 | |

At **Today Orders**, **M** showed at **Type** means it is a Market Order.
SL<=95 showed at **Condition** means the Trigger Price is \$95.