

第三方存款申請
Third Party Deposit Application Form

PART II: To be completed and signed by the Account Executive ("AE") and Responsible Officer ("RO")
第二部分: 由 客戶主任 與 負責人員 填寫及簽署

Account No
帳戶號碼: _____

Account Name
帳戶名稱: _____

AE's acquaintance with the client
(No. of years AE has known client)
客戶主任與客戶認識多久: _____

AE's comment on client's activities and trading pattern (in particular, any irregularity observed)
客戶主任評論有關帳戶的活動 (包括交易和非交易活動, 及有否不尋常的交易):

AE's comment on the request for third party deposit
客戶主任評論該第三方存款要求

The request should be allowed because
該要求應予以批准, 原因是: _____

Signature and Name of AE
客戶主任簽署及名稱

Date
日期

RO's comment on the request for third party deposit
負責人員評論該第三方存款要求

- Request for third party deposit approve
該筆第三方存款要求予以批准
- Request for third party deposit does not approve
該筆第三方存款要求不予批准

Comment:
意見: _____

Signature and Name of RO
負責人員簽署及名稱

Date
日期

PART III: To be completed and signed by the Money Laundering Reporting Officer (“MLRO”)
第三部分: 由 洗錢報告主任 填寫及簽署

- Request for third party deposit approve
該筆第三方存款要求予以批准

- Request for third party deposit does not approve
該筆第三方存款要求不予批准

Comment:

意見：

Signature and Name of MLRO
洗錢報告主任簽署及姓名

Date
日期