

To:  
UOB Kay Hian (Hong Kong) Limited  
UOB Kay Hian Futures (Hong Kong) Limited  
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## FINANCIAL BACKGROUND UPDATE FORM (FOR CORPORATE CLIENT)

更新財務狀況表格 (公司客戶)

<b>Account Name(s)</b> 帳戶名稱: _____	<b>Account No.</b> 帳戶號碼: _____ - _____ / M / S / F*
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\*Delete where applicable

Financial Background information(s) as follows 財務狀況資料如下 -

1. Authorized Capital (in HK\$) 註冊股本 (港幣計算)

\_\_\_\_\_

2. Paid-up Capital (in HK\$) 繳足股本 (港幣計算)

\_\_\_\_\_

3. Latest Total Asset (in HK\$) 最新的總資產 (港幣計算)

\_\_\_\_\_

4. Latest Net Asset Value (in HK\$) 最新的資產淨值 (港幣計算)

\_\_\_\_\_

5. Net Profit (after tax) in preceding 3 years 過往三年 (除稅後) 溢利

Year 年份 HK\$ 港幣

\_\_\_\_\_

Year 年份 HK\$ 港幣

\_\_\_\_\_

Year 年份 HK\$ 港幣

\_\_\_\_\_

6. Address of Properties Owned 公司擁有物業地址 (please state major ones 請填寫主要物業)

i. \_\_\_\_\_

ii. \_\_\_\_\_

iii. \_\_\_\_\_

**7. Source of Fund of Your Investment Account 投資賬戶的資金來源**

- |   |  |
|---|--|
| <input type="checkbox"/> Operational income 營運收入      | <input type="checkbox"/> Sale of investment 出售投資               |
| <input type="checkbox"/> Investment income 投資收入       | <input type="checkbox"/> Rental income 租金收入                    |
| <input type="checkbox"/> Interest income 利息收入         | <input type="checkbox"/> External borrowing 對外借貸               |
| <input type="checkbox"/> Funds from shareholders 股東資金 | <input type="checkbox"/> Other (Please specify) 其他 (請註明) _____ |

**8. Principal Source of Wealth (e.g. Activities that generate the business' accumulated capital and ongoing deposits)  
主要財富來源 (如: 提供累積資本及存款的活動)**

(Please choose one 請選一個)

- |   |  |
|---|--|
| <input type="checkbox"/> Operational income 營運收入      | <input type="checkbox"/> Sale of investment 出售投資               |
| <input type="checkbox"/> Investment income 投資收入       | <input type="checkbox"/> Rental income 租金收入                    |
| <input type="checkbox"/> Interest income 利息收入         | <input type="checkbox"/> External borrowing 對外借貸               |
| <input type="checkbox"/> Funds from shareholders 股東資金 | <input type="checkbox"/> Other (Please specify) 其他 (請註明) _____ |

**9. Anticipated Level and Nature of the Activity 預期投資程度及交易性質 (以港幣計)**

- |  |  |
|--|--|
| <input type="checkbox"/> < \$500,000             | <input type="checkbox"/> \$500,000-\$1,000,000 |
| <input type="checkbox"/> \$1,000,001-\$5,000,000 | <input type="checkbox"/> > \$5,000,000         |

(Please specify the nature 請註明交易性質) \_\_\_\_\_

We represent that the contents and information provided by us in this Client Information Statement are true, complete and correct and not misleading in any respect and that the representations made by us herein are accurate. You may or are entitled to rely entirely upon such contents information and representations for all purpose, unless you receive notice in writing from us of any change thereof.

本公司茲申述在客戶資料表格內的資料及內容屬實、完整、正確，且在任何方面均無誤導，及附上申述內容準確，除非貴司收到更改有關聲明內容的書面通知，否則貴司可或有權在任何用途上完全依賴這些資料及申述。

**Client Signature(s) (with Company Chop if any)**

客戶簽署 (請公司蓋章如有):

\_\_\_\_\_  
**Name of Client 客戶名稱:**

\_\_\_\_\_  
**Date 日期**

**Please use the signature(s) filed with the Company 請用留存在本公司之印鑑簽署**