

## Custodial Services & Nominees Fees 託管及代理人服務收費表

### Section A

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Hong Kong 香港	Collection of Cash Dividend 代收現金股息	Dividend Collection Fee: 0.30% of Net Dividend (Min. HK\$30) 股息手續費: 0.30% 之淨股息(最低收費:港元30)
	Collection of Scrip Dividend / Bonus Shares 代收(可兌股)及紅股股息	Bonus Handling Charge: HK\$30 per stock 紅股股息手續費: 每股份港元30
	Book Close Scrip Fee (with Entitlement) 股票登記及過戶費(附權益)	1. Scrip Fee: HK\$1.50 per board lot 過戶費: 每手港元1.50 2. Handling Fee: HK\$30 per stock 手續費: 每股份港元30
	Cash offers/ Privatization/ Takeover offer/ Auto-exercise covered warrants and other corporate actions with Cash consideration 有關現金收購, 私有化, 衍生輪證自動行使及涉合現金收入之公司行動	1. Corporate Action Fee: HK\$1.20 per board lot 公司行動費: 每手港元 1.20 2. Handling Fee: HK\$50 per stock 手續費: 每股份港元50
	Exercise Warrants, Rights, Open Offer, Perf. Offer etc.. 代行使 權證, 供股權證, 公開配售, 優先認購 等	1. Corporate Action Fee: HK\$1.20 per board lot 公司行動費: 每手港元 1.20 2. Handling Fee: HK\$50 per stock 手續費: 每股份港元50
	Stock Settlement Instruction (SI) (NCBO - non-trade transfer out) 提取股票 - 經由中央結算處理的交收指示 (SI) (只限同名戶口提貨 - 非交易轉貨)	0.01% Market Value per stock per transaction (Min.: HKD200) 以市值0.01%計算每宗交易股份 (最低收費:港元200)
	Stock Individual Settlement Instruction (ISI) (NCBO - non-trade transfer out) 提取股票 - 經由中央結算處理的投資者交收指示 (ISI) (只限同名戶口提貨 - 非交易轉貨)	HK\$50 per stock per transaction 每宗交易股份港元50
	Counter Transfer Instruction - 「HKD-RMB Dual Counter Model」 (NCBO transfer within securities account) 櫃台轉換指示- 「港幣-人民幣雙櫃台模式」 (只限同名證券戶口內轉換)	Waived 豁免
	Physical Certificate Deposit 存入實物股票	Stamp Duty HK\$5 per transfer deed 印花稅港元5 (每張股票 或 轉手紙)
	Physical Certificate Withdrawal 提取實物股票	HK\$5 per board lot (Min. HK\$100 per transaction) 每手港元5 (每宗最低港元100)
	Proxy & Voting Request 代履行投票服務	HK\$100 per stock per request 每一宗股份投票申請計港元100
	IPO Subscription 新股認購	HK\$100 per stock per subscription 每宗申請股份港元100
	Custody Fee (Monthly) 託管費(每月收取)	Waived 豁免
	Handling Charge for Assets Verification / Balance Audit Confirmation Letter 處理資產核實/結存審計證明書	HK\$200 per account per request 每一賬戶申請計港元200
	Reference Letter 發出證券戶參考證明書	HK\$200 per request 每一宗申請計港元200
Unclaimed Entitlements 追討股息/紅股/供股權	1. CCASS Handling Fee: HK\$200 (中央結算公司手續費: 每宗申請港元200) 2. UOBKH Handling Fee: 0.30% of Net Cash Amount (Min. HK\$300) per stock per claim + HK\$300 per claim (大華繼顯手續費: 淨現金款額的0.30% (最低收費:港元300) 每隻股票/每宗申請計 + 港元300 每宗申請計)	

## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Hong Kong China Connected Markets 互聯互通市場  (Shanghai Connect 滬港通 / Shenzhen Connect 深港通)	Collection of Cash Dividend 代收現金股息	Handling Fee: 0.30% of Net Dividend (Min. CNY30) 手續費: 0.30% 之淨股息(最低收費:人民幣30)
	Collection of Scrip Dividend / Bonus Shares 代收(可兌股)及紅股股息	Handling Fee: CNY30 per stock 手續費: 每股份計人民幣30
	Cash offers/ Privatization/ Takeover offer/ Auto-exercise covered warrants and other corporate actions with Cash consideration 有關現金收購, 私有化, 衍生輪證自動行使 及涉合現金收入之公司行動	1. CNY1.20 per board lot 每手人民幣1.20 2. Handling Fee: CNY50 per stock 手續費: 每股份人民幣50
	Corporate Actions 代履行公司行動	1. CNY1.20 per board lot 每手人民幣 1.20 2. Handling Fee: CNY50 per stock 手續費: 每股份人民幣50
	Stock Settlement Instruction (SI) (NCBO - non-trade transfer out) 提取股票 - 經由中央結算處理的交收指示 (SI) (只限同名戶口提貨 - 非交易轉貨)	0.01% Market Value per stock per transaction (Min.: CNY200) 以市值0.01%計算每宗交易股份 (最低收費:人民幣200)
	Proxy & Voting Request 代履行投票服務	CNY100 per stock per request 每一宗股份投票申請計人民幣100
	Portfolio Fee 投資組合費	0.008% p.a. of the portfolio value (Daily accrual in HKD, debit on monthly basis) 投資組合費年行率 0.008% (每日股票投資組合值 x 0.008% / 365 每日計算 · 按月以港元收取)
United States 美國	Dividend Collection 代收現金股息	Collection Fee: 1% on net dividend (Min. USD10) Subject to 30% Dividend tax on gross dividend & interest * 手續費: 1% 除稅後股息(最低收費: 美元10) 需繳納30% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: USD10 per stock 手續費: 美元10 以每宗股份計
	Cash Offers / Privatizations & Others Corporate action with Cash consideration (現金回購/私有化 及 其他公司行動有關之現金回購)	Handling Fee: USD20 per stock ** 手續費: 美元20 以每宗股份計**
	Electronic Transfer out to others clearing Participant / Different Custody Transfer Request (NCBO) 電子轉貨指示-轉移股票至其他結算參與者/ 不同託管商轉移 (只限同名戶口提貨)	Handling Fee: USD30 per stock per transaction 手續費: 美元30 以每宗股份交易計
	Stock Transfer Fee – via DRS / DWAC 股票轉移 - 經(直接註冊系統)/ (託管人存取)	1. Handling Fee: USD50 per stock per transaction settled** 手續費: 美元50 以每宗股份成功交易計 ** 2. Handling Fee: USD 40 DRS/DWAC per each rejection/fail** 手續費: 美元40以每宗 DRS/DWAC拒絕或失敗指示計**
	ADR Depository Fee 美國存託證券託管費	ADR Fee: USD 0.01 - 0.05 Per share (book close collected by the ADR agents) 託管費: 美元0.01 至0.05 每股計 (由託管中介於股份登記日收集)
	Physical Certificate Deposit 存入實物股票	1. Handling Fee: USD 100 per certificate (手續費: 美元100 以每張股票計) 2. Courier Fee: HKD 300 per deposit ** (快遞費用: 港元300 每宗計) **
Proxy Voting Request 代履行投票服務	Handling Fee: USD90 per proxy voting request ** 手續費: 美元90 以每宗申請計 **	

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EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Singapore 新加坡	Dividend Collection 代收現金股息	Collection Fee: 1.10% on net dividend (Min SGD25, Max SGD100 ) Subject to 17% Dividend Tax * 手續費: 1.10% 除稅後股息 (最低收費: 坡元25 / 最高收費: 坡元100) 需繳納17% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: SGD30 per stock 手續費 坡元30 以每宗股份計
	Rights Subscription / Warrants Exercise / Open Offers / Shares Conversion (行使認股權証/供股權/公開配售/ 股份轉換)	Handling Fee: SGD40 per subscripton 手續費: 坡元40 以每宗認購股份計
	Cash Offers / Privatizations & Others Corporate action with Cash consideration (現金回購/私有化 及 其他公司行動有關之現金回購)	Handling Fee: SGD50 per stock ** 手續費: 坡元50 以每宗股份計**
	Electronic Non Trade Stock Transfer Fee (out) with CDP (NCBO only) 電子轉貨指示-轉移股票至其他CDP結算參與者 (只限同名戶口提貨)	Handling Fee: SGD70 per stock per transaction 手續費: 坡元70 以每宗交易股份計
	Physical Certificate Deposit 存入實物股票	1. Deposit Fee: SGD70 per deposit (存入費: 坡元70 每宗計) 2. Scrip Fee: SGD2 per certificate (過戶費: 坡元2 每張票價計) 3. CDP Fee: SGD10 per deposit (中央結算費: 坡元10 每宗計) 4 Courier Fee: HKD300 per deposit** (Reference for Standard weight < 1 kg) (快遞費用: 港元300 每宗計)** (表準重量供參考 少於1千克)
	Physical Certificate Withdrawal 提取實物股票	1. Withdrawal Fee: SGD70 per withdraw (提取費: 坡元70 每宗計) 2. Scrip Fee: SGD 2 per certificate (過戶費: 坡元2 每張票價計) 3. CDP Fee: SGD25 per withdrawal (中央結算費: 坡元25 每宗計) 4. Courier Fee: HKD300 per withdrawal* * (Reference for Standard weight < 1 kg) (快遞費用: 港元300 每宗計)** (表準重量供參考 少於1千克)
	Proxy Voting Request 代履行投票服務	Handling Fee: SGD70 per request 手續費: 坡元70 以每宗申請計

## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Singapore – Jardine Group 新加坡 - 怡和系列	Dividend Collection 代收現金股息	Collection Fee : 1.10% on net dividend ** (Min USD15 , Max USD100) 手續費 :1.10% 的除稅後股息 ** (最低 美元15, 最高 美元100)
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee : SGD30 per stock ** 手續費 坡元30 以每宗股份計**
	Rights Subscription / Warrants Exercise / Open Offers / Shares Conversion (行使認股權証/供股權/公開配售/ 股份轉換)	Handling Fee : SGD40 per subscripton 手續費 坡元40 以每宗認購股份計
	Electronic Non Trade Stock Transfer Fee (out) with CDP (NCBO only) 電子轉貨指示-轉移股票至其他CDP結算參與者 (只限同名戶口提貨)	Handling Fee: SGD70 per stock per transaction 手續費 坡元70 以每宗交易股份計
	Physical Certificate Deposit 存入實物股票	<ol style="list-style-type: none"> <li>1. Deposit Fee: SGD70 per deposit (存入費: 坡元70 每宗計)</li> <li>2. Scrip Fee: SGD2 per certificate (過戶費: 坡元2 每張票價計)</li> <li>3. CDP Fee: SGD10 per deposit (中央結算費: 坡元10 每宗計)</li> <li>4 Courier Fee: HKD300 per deposit** (Reference for Standard weight &lt; 1 kg) (快遞費用: 港元300 每宗計)** (表準重量供參考 少於1千克)</li> </ol>
	Physical Certificate Withdrawal 提取實物股票	<ol style="list-style-type: none"> <li>1. Withdrawal Fee: SGD70 per withdraw (提取費: 坡元70 每宗計)</li> <li>2. Scrip Fee: SGD 2 per certificate (過戶費: 坡元2 每張票價計)</li> <li>3. CDP Fee: SGD25 per withdrawal (中央結算費: 坡元25 每宗計)</li> <li>4. Courier Fee: HKD300 per withdrawal** (Reference for Standard weight &lt; 1 kg) (快遞費用: 港元300 每宗計)** (表準重量供參考 少於1千克)</li> </ol>

## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Australia 澳洲	Dividend Collection 代收現金股息	Handling Fee: AUD30 per stock Subject to 30% Dividend Tax * 手續費: 澳元30 以每股份計 需繳納30% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: AUD30 per stock 手續費: 澳元30 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: AUD30 per stock ** 手續費: 澳元30 以每股份計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: AUD80 per stock per transaction 手續費: 澳元80 以每宗交易股份計
	Proxy Voting Request 代履行投票服務	Handling Fee: USD90 per proxy voting request ** 手續費: 美元90 以每宗申請計
	Physical Certificate Deposit 存入實物股票	1. Handling Fee: USD 100 per Certificate (手續費: 美元100 以每一張股票計算) 2. Courier Fee: HKD 300 ** (快遞費用: 港元300)**
Canada 加拿大	Dividend Collection 代收現金股息	Collection Fee: 0.30% on net dividend (Min. CAD20) Subject to 25% Dividend tax * 手續費: 0.30% 除稅後股息(最低收費:加元20) 需繳納25% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: CAD20 per stock 手續費: 加元20 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: CAD20 per stock ** 手續費: 加元20 以每股份計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: USD80 per stock per transaction 手續費: 美元80 以每宗交易股份計
	Proxy Voting Request 代履行投票服務	Handling Fee: USD90 per proxy voting request ** 手續費: 美元90 以每宗申請計
	Physical Certificate Deposit 存入實物股票	1. Handling Fee: USD 100 per Certificate (手續費: 美元100 以每張股票計算) 2. Courier Fee: HKD 300 ** (快遞費用: 港元300)**

## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
European Markets 歐洲市場	Dividend Collection 代收現金股息	Collection Fee: 0.50% on net dividend (Min. USD20 or Min. EUR20) Subject to Domestic Dividend Tax * 手續費: 0.50% 除稅後股息(最低收費:美元20 或歐元20) 需繳納當地的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: USD20 or EUR20 per stock 手續費: 美元20 或歐元20 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: USD20 or EUR20 per stock ** 手續費: 美元20 或歐元20 以每股份計**
	Proxy Voting Request 代履行投票服務	Handling Fee: USD90 per proxy voting request ** 手續費: 美元90 以每宗申請計
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: EUR20 per stock per transaction 手續費: 歐元20 以每宗交易股份計
Indonesia 印尼	Dividend Collection 代收現金股息	Handling Fee: IDR70,000 per stock Subject to 20% Dividend Tax * 手續費: 印尼盾70,000 以每股份計 需繳納20% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: IDR70,000 per stock 手續費: 印尼盾70,000 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: IDR200,000 per stock ** 手續費: 印尼盾200,000 以每股份計 **
	Others Coporate Actions 代履行之公司行動	Handling Fee: IDR200,000 per stock ** 手續費: 印尼盾200,000 以每股份計 **
	Proxy Voting Request 代履行投票服務	Handling Fee: HK\$200 per Voting per request ** 手續費: 港元200 以每宗股東會申請計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: IDR 400,000 per stock per transaction 手續費: 印尼盾400,000 以每宗交易股份計

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EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Japan 日本	Dividend Collection 代收現金股息	Handling Fee: 1% on net dividend (Min JPY 2,000) Subject to 15.315% Dividend Tax * 手續費: 1% 除稅後股息(最低收費: 日元2,000) 需繳納15.315% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: JPY2,000 per stock 手續費: 日元2,000 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: JPY2,000 per stock 手續費: 日元2,000 以每股份計
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: JPY5,000 per stock per transaction 手續費: 日元5,000 以每宗交易股份計
Malaysia 馬來西亞	Dividend Collection 代收現金股息	Collection Fee: 1.10% on net dividend (Min MYR30, Max MYR300) Subject to 10% Dividend Tax * 手續費: 1.10% 除稅後股息 (最低收費: 馬幣30 / 最高收費:馬幣300) 需繳納10% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: MYR30 per stock 手續費: 馬幣30 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/公開配售/現金回購/股份轉換 /股本重組)	Handling Fee: 0.25% on total amount subscribed / offered ** (Min MYR30, Max MYR300) 手續費: 0.25% 的認購金額/ 收購款項 ** (最低收費: 馬幣30 / 最高收費:馬幣300)
	Proxy Voting Request 代履行投票服務	Handling Fee: HK\$200 per Voting per request ** 手續費: 港元200 以每宗股東會申請計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: MYR30 per stock per transaction 手續費: 馬幣30 以每宗交易股份計

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EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
New Zealand 新西蘭	Dividend Collection 代收現金股息	Handling Fee: NZD30 per stock Subject to 15% Dividend Tax * 手續費: 新西蘭元30 以每股份計 需繳納15% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: NZD30 per stock 手續費: 新西蘭元30 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: NZD30 per stock ** 手續費: 新西蘭元30 以每股份計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: NZD45.00 per stock per transaction 手續費: 新西蘭元45.00 以每宗交易股份計
	Proxy Voting Request 代履行投票服務	Handling Fee: USD90 per proxy voting request ** 手續費: 美元90 以每宗申請計
	Physical Certificate Deposit 存入實物股票	1. Handling Fee: USD100 per Certificate (手續費: 美元100 以每一張股票計算) 2. Courier Fee: HKD300 ** (快遞費用: 港元300)**
Philippines 菲律賓	Dividend Collection 代收現金股息	Handling Fee: PHP1,000 per stock, Subject to 30% Dividend Tax * 手續費: 菲律賓比索1,000 以每股份計 需繳納30% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: PHP1,000 per stock 手續費: 菲律賓比索1,000 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: PHP1,000 per stock ** 手續費: 菲律賓比索1,000 以每股份計 **
	Proxy Voting Request 代履行投票服務	Handling Fee: HK\$200 per Voting per request ** 手續費: 港元200 以每宗股東會申請計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: PHP500 per stock 手續費: 菲律賓比索500 以每股份計



## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Shanghai "B" 上海B股	Dividend Collection 代收現金股息	Handling Fee: 0.30% of Net Dividend (Min. USD20) 手續費: 0.30% 之淨股息(最低收費:美元20)
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: USD20 per stock 手續費: 美元20 以每股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: USD20 per stock ** 手續費: 美元20 以每股份計**
Shenzhen "B" 深圳B股	Dividend Collection 代收現金股息	Handling Fee: 0.30% of Net Dividend (Min. HK\$150) 手續費: 0.30% 之淨股息(最低收費:港元150)
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: HKD150 per stock 手續費: 港元150 以每一股份計
	Rights Subscription, Warrants Exercise, Open Offers, Cash Offer, Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee: HKD150 per stock ** 手續費: 港元150 以每一股份計**
South Korea 南韓	Cash / Scrip Dividend Collection 代收現金股息 / 以股代息 收集費	Handling Fee: KRW7,800 per stock Subject to 22% Dividend Tax * 手續費: 南韓環7,800 以每宗股份計 需繳納22% 的股息稅*
	Bonus Issue 代收紅股	Handling Fee: KRW7,800 per stock * 手續費: 南韓環7,800 以每宗股份計*
	Rights Subscription / Warrants Exercise / Shares Conversion (行使認股權証/供股權/公開配售/ 股份轉換)	Handling Fee: KRW7,800 per stock 手續費: 南韓環7,800 以每宗股份計
	Cash Offers / Privatizations & Others Corporate action with Cash consideration (現金回購/私有化 及 其他公司行動有關之現金回購)	Handling Fee: KRW7,800 per stock 手續費: 南韓環7,800 以每宗股份計
	Proxy Voting Request 代履行投票服務	Handling Fee: HK\$200 per Voting per request ** 手續費: 港元200 以每宗股東會申請計**

## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
Taiwan 台灣	Dividend Collection 代收現金股息	Handling Fee: TWD1,000 per stock Subject to 21% Dividend Tax * 手續費: 新台幣1,000 以每宗股份計 需繳納21% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: TWD1,000 per stock Subject to Dividend Tax by the Issue Company * 手續費: 新台幣1,000 以每宗股份計 需繳納發行公司 的股息稅*
	Cash Offers / Privatizations & Others Corporate action with Cash consideration (現金回購/私有化 及 其他公司行動有關之現金回購)	Handling Fee: TWD1,000 per stock Subject to Dividend Tax by the Issue Company * 手續費: 新台幣1,000 以每宗股份計 需繳納發行公司 的股息稅*
	Proxy Voting Request 代履行投票服務	Handling Fee: USD80 per proxy voting request ** 手續費: 美元80 以每宗申請計
	Custody Fee (Monthly) 託管費(每月收取)	0.075% / 12 month as of months ends market value (Fee will be charged from the securities account on the following month in USD) 投資組合總值 x 0.075% / 12 按月尾市值收取 (將在跟隨之月份早段以美元在證券賬戶扣除)
Thailand 泰國	Dividend Collection 代收現金股息	Handling Fee: THB500 per stock Subject to 10% Dividend Tax* 手續費: 泰幣500 以每宗股份計 需繳納10% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: THB500 per stock ** 手續費: 泰幣500 以每宗股份計**
	Cash Offers / Privatizations & Others Corporate actions with Cash consideration (現金回購/私有化 及 其他公司行動有關之現金回購)	Handling Fee: THB500 per stock ** 手續費: 泰幣500 以每宗股份計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: THB1,000 per stock 手續費: 泰幣1,000 以每宗股份計
	Proxy Voting Request 代履行投票服務	Handling Fee: HK\$200 per Voting per request ** 手續費: 港元200 以每宗股東會申請計**
	Physical Certificate Withdrawal / Deposit 提取/ 存入實物股票	1. Handling Fee: HKD200 per stock per request ** 手續費: 港元200 以每宗申請計** 2. Courier Fee: HKD300 per request ** 快遞費用: 港元300 每宗計**

## Custodial Services & Nominees Fees 託管及代理人服務收費表

EQUITIES MARKETS 股票市場	ITEM 項目	FEES DESCRIPTION 收費內容
United Kingdom 英國	Dividend Collection 代收現金股息	Collection Fee: 0.30% on net dividend (Min. GBP8 / USD15) Subject to 10% Dividend Tax * 手續費: 0.30% 除稅後股息(最低收費: 英鎊8 / 美元 15) 需繳納10% 的股息稅*
	Bonus & Rights Issue 代收紅股 & 供股權	Handling Fee: GBP8 per stock ** 手續費: 英鎊8 以每宗股份計**
	Cash Offers / Privatizations & Others Corporate action with Cash consideration (現金回購/私有化 及 其他公司行動有關之現金回購)	Handling Fee: GBP8 per stock ** 手續費: 英鎊8 以每宗股份計**
	Electronic Transfer out to others clearing Participant (NCBO) 電子轉貨指示-轉移股票至其他結算參與者 (只限同名戶口提貨)	Handling Fee: GBP30 per stock per transaction 手續費: 英鎊30 以每宗股份交易計
	Proxy Voting Request 代履行投票服務	Handling Fee: USD90 per proxy voting request ** 手續費: 美元90 以每宗申請計
	Physical Certificate Deposit 存入實物股票	1. Handling Fee: USD 100 per Certificate (手續費: 美元100 以每一張股票計算) 2. Courier Fee: HKD 300 ** (快遞費用: 港元300)**

### Section B

PRODUCTS 產品	ITEM 項目	FEES DESCRIPTION 收費內容
Bonds 債券	Dividend / Interest Collection 代收現金股息/利息	Waived 豁免
	Rights Subscription, Bonds Exercise, Open Offers, Cash Offer, Bonds Conversions and Capital Reconstruction 代履行權責服務費 (如: 行使認股權証/供股權/贖回/現金回購/公開配售)	Handling Fee : USD80 per bond per instruction 手續費 美元80 以每宗債券指令計
	Corporate Actions 代履行公司行動	Handling Fee : USD80 per bond per instruction 手續費 美元80 以每宗債券指令計
	Custody Fee (charge in Quarterly) 託管費(每季收取)	0.025% p.a. calculated on Market Value as of Monthly-end (Quarterly Min. USD30) - Charges in Quarterly 投資組合按月尾市值總值 x 0.025% / 12 (每季最低美元30) - 每季在戶口扣除
	Proxy Voting Request 代履行投票服務	Handling Fee : USD200 per voting request 手續費 美元200 以每宗投票處理計
	Electronic Transfer out to others Euroclear / Clearstream Participant (NCBO) 電子轉貨指示-轉移股票至其他 Euroclear / Clearstream 參與者(只限同名戶口提貨)	Handling Fee : USD80 per bond per transaction 手續費 美元80 以每宗交易債券計

## Custodial Services & Nominees Fees 託管及代理人服務收費表

PRODUCTS 產品	ITEM 項目	FEES DESCRIPTION 收費內容
OTC Structured Products/ Certificate of Deposit 場外結構性產品/存款證	Coupon / Interest Collection 代收現金票息/利息	Collection Fee : 0.50% on net Coupon / Interest (Min USD20, Max USD150) (Min EUR20, Max EUR150) (Min HKD150, Max HKD1,000) (Min CNY150, Max CNY1,000) (Other currencies will be equivalent to EUR) Subject to Domestic Dividend Tax * 手續費 : 0.50% 除稅後票息/利息 (最低收費:美元20, 最高收費:美元150) (最低收費:歐元20, 最高收費:歐元150) (最低收費:港元150, 最高收費:港元1,000) (最低收費:人民幣150, 最高收費:人民幣1,000) (其他貨幣以歐元等值計) 需繳納當地的股息稅*
	Transfer out to others Financial Institution (NCBO only) 轉貨指示-轉移至其他金融機構 (只限同名戶口提貨)	HK\$200 per product per request 每一產品申請計港元200
ELI Structured Products 股票掛鉤投資結構性產品	Coupon / Interest Collection 代收現金票息/利息	Collection Fee : 0.50% on net Coupon / Interest (Min USD10, Max USD75) (Min EUR10, Max EUR75) (Min HKD50, Max HKD500) (Min CNY45, Max CNY500) (Other currencies will be equivalent to EUR) Subject to Domestic Dividend Tax * 手續費 : 0.50% 除稅後票息/利息 (最低收費:美元10, 最高收費:美元75) (最低收費:歐元10, 最高收費:歐元75) (最低收費:港元50, 最高收費:港元500) (最低收費:人民幣45, 最高收費:人民幣500) (其他貨幣以歐元等值計) 需繳納當地的股息稅*
	Transfer out to others Financial Institution (NCBO only) 轉貨指示-轉移至其他金融機構 (只限同名戶口提貨)	HK\$200 per product per request 每一產品申請計港元200
Mutual Funds Market 互惠基金	Transfer out to others Financial Institution (NCBO) 轉貨指示-轉移互惠基金至其他金融機構 (只限同名戶口提貨)	HK\$200 per fund per request 每一基金申請計港元200

Note: (1) The above Fees are subject to changes at the Company's sole discretion without prior notice.

(2) Clients may be required to pay additional fees charged the company for by third parties in relation to the provision of securities trading, custodian and/or nominee services.

注意: (1)上述費用可由公司自行決定更改,恕不另行通知。

(2) 客戶可能需要向本公司支付就第三方提供的證券交易,保管人和/或代理人服務收取的額外費用。

Remark: \* Subject to change by relevant Countries tax policy.

\*\*N.B. – All out-of-pocket expenses are to be borne by clients

備註: \*可能會受相關國家稅收政策的影響。

\*\* N.B. - 所有因服務而產生之額外費用均由客戶承擔